

1)10 - 20-00 DOCKET NO.: 19603/3296 (CRF D-2098B) EXPRESS MAIL NO.: EL542863819US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM

(only for new nonprovisional applications under 37 CFR 1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

R409092.1

Transmitted herewith for filing is the patent application (including Specification, Claims, and Abstract, 65 pages) of:

Inventors : Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and Steven V. Beer

For : HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE **If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite

injo.	rmation below and in a preliminary amendment:
	continuation [X] divisional [] Continuation-In-Part (CIP) prior application Serial No. 09/120,663
Pri	or application information: Examiner: O. Zaghmout Art Unit: 1649
Enc:	osed are:
[X]	5 sheets of informal drawings.
[]	Signed Combined Declaration and Power of Attorney (pages).
[X]	Copy of two signed Combined Declaration and Power of Attorney (2 pages each) from a prior application (1.63(d) (for continuation/divisional).
[-]	Signed statement deleting inventor(s) named in prior application ($____$ pages) (1.63(d)(2) and 1.33(b)).
X]	Incorporation By Reference : The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
]	Assignment (pages) of the invention to
]	Assignment Transmittal Letter.
]	Certified copy of a foreign priority document.
]	Associate power of attorney.
X]	Two verified statements to establish small entity status (2 pages each) (copy filed in prior application).

[X]	Preliminary	Amendment (1	page).										
[X]	Information	Disclosure State	ement, form PTO	-144	9 (11 page	s) and 16	60 refer	ences (not	enclosed).				
[]	UNSIGNEI	Combined Dec	claration and Pov	er o	f Attorney	ı	oages).						
[X]	Request for § 1.821(f).	Transfer of Con	nputer Readable I	Reco	rd and Sta	tement ir	Accor	dance with	a 37 CFR				
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BAS	BASIC FEE XXXXXXXX		xxxxxxxx		xxxx	\$345	<u>OR</u>	xxxx	\$690				
TOT	TOTAL CLAIMS 18 - 20 = 0				x 9=	\$0	<u>OR</u>	x 18 =	\$				
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[X]	Address all	future commun	ications to:										
	Michael L. Goldman NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603												

Edwin V. Merkel Registration No. 40,087

NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603 Telephone: (716) 263-1128

Facsimile: (716) 263-1128

Date: June 19, 2000

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3296 (CRF D-2098B)

APPLICANTS: Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE: HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the Utility Patent Application Transmittal Letter (2 pages) of the above-named application.

EXPRESS MAIL NUMBER: EL542863819US

DATE OF DEPOSIT:

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Jane C. Wirszyla
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

EXPRESS MAIL CERTIFICATE

DOCKET NO .:

19603/3296 (CRF D-2098B)

APPLICANTS:

Adam J. Bogdanove, Jihvun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE:

HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the copy of the **Two Verified Statements Claiming**Small Entity Status (2 pages each) as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER:

EL542863819US

DATE OF DEPOSIT:

June 19, 2000

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Jane C. Wirszyla
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

PATENT Docket No.: 19603/1661 (CRF D-2098A)

Applicant or Patentee : Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer
Serial or Patent No. : 09/120,663

Filed or Issued : July 22, 1998

For : HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINLA

AMYLOVORA, ITS USE, AND ENCODING GENE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(F) AND 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION : Cornell Research Foundation, Inc.
ADDRESS OF ORGANIZATION : Cornell Business & Technology Pa

Cornell Business & Technology Park 20 Thornwood Drive, Suite 105

Ithaca, New York 14850

TYPE OF ORGANIZATION

[X]	UNIVERSITY OR	OTHER	INSTITUTION	OF	HIGHER	.EDUCA	ATION
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- [] TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))
- [] NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE:) (CITATION OF STATUTE:)
- [] WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- [] WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA (NAME OF STATE;) (CITATION OF STATUTE.)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE by inventors Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and Steven V. Beer.

described in

- [] the specification filed herewith.
- [X] U.S. Patent Application Serial No. 09/120,663, filed July 22, 1998.

r 3	U.S. Patent No.	, issued .
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I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small

entities. (37 CFR 1.27).

NAME

EDEN Bioscience Corporation

ADDRESS: 11816 North Creek Parkway N., Bothell, Washington 98011-8205

[] INDIVIDUAL [X] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

NAME ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any changes in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any natent to which this verified statement is directed.

NAME OF PERSON SIGNING: TITLE IN ORGANIZATION: ADDRESS OF PERSON SIGNING: Richard S. Cahoon Vice President 20 Thornwood Drive, Suite 105 Ithaca, New York 14850

SIGNATURE The Land S. Clebron DATE: Nov. 17, 1998

*Cornell Research Foundation, Inc., is a Corporation which is wholly owned by Cornell University handling Patents and Licensing.

SMALL BUSINESS

Docket No.: 19603/1661 (CRF D-2098A)

Applicant or Patentee : Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

Serial or Patent No. : 09/120,663 Filed or Issued : July 22, 1998

For : HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA

AMYLOVORA, ITS USE, AND ENCODING GENE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern

identified below:

NAME OF CONCERN : EDEN Bioscience Corporation
ADDRESS OF CONCERN : 11816 North Creek Parkway N.

Bothell, Washington 98011-8205

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 12.13-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small basiness concern identified above with regard to the invention, entitled HYPPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE by inventors Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and Steven V. Beer described in

the specification filed herewith

[X] U.S. Patent Application Serial No.: 09/120,663

Filed: July 22, 1998

[] U.S. Patent No .:

Issued:

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CRF 1.27).

NAME Cornell Research Foundation, Inc.

Cornell Business & Technology Park, 20 Thornwood Drive, Suite 105 ADDRESS

Ithaca, New York 14850

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [X] NONPROFIT ORGANIZATION

NAME ADDRESS

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

Jerry L. Butler

TITLE OF PERSON OTHER THAN OWNER

President and Chief Executive Officer

ADDRESS OF PERSON SIGNING

11816 North Creek Parkway N. Bothell, Washington 98011-8205

Butle SIGNATURE

EXPRESS MAIL CERTIFICATE

DOCKET NO .:

19603/3296 (CRF D-2098B)

APPLICANTS:

Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE:

HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the **Preliminary Amendment (1 page)** of the above-named application.

EXPRESS MAIL NUMBER:

EL542863819US

DATE OF DEPOSIT:

June 19, 2000

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Jane C. Wirszyla
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper

Docket No.: 19603/3296 (CRF D-2098B)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Bogdanove et al.)	Examiner: To Be Assigned
Serial No.	:	Division of 09/120,663)	ro Bernsagnea
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Filed	:	Herewith)	To Be Assigned
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For	:	HYPERSENSITIVE RESPONSE ELICITOR)	
		FROM ERWINIA AMYLOVORA, ITS USE, AND)	
		ENCODING GENE)	
)	

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Box: Patent Application

Dear Sir:

Please amend the above-identified patent application as follows:

In the Specification:

Page 1, line 4, after "This application", please insert: -- is a division of U.S.

Patent Application Serial No. 09/120,663, filed July 22, 1998, and --.

Page 38, line 2, delete ", and the operon, dspE".

Page 40, line 25, delete "competing" and insert --completing --.

In the Claims:

Please delete claims 1-16 and 29-37.

Respectfully submitted,

•

2000

Edwin V. Merkel Registration No. 40,087

NIXON PEABODY LLP

Clinton Square, P.O. Box 31051 Rochester, New York 14603 Telephone: (716) 263-1128 Facsimile: (716) 263-1600

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3296 (CRF D-2098B)

APPLICANTS: Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE: HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the Patent Application including specification, claims, and abstract (65 pages) as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: EL542863819US

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Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on
the date indicated above and is addressed to the Assistant Commissioner for Patents,

Washington, D.C. 20231, Box: Patent Application.

Jane C. Wirszyla
(Typed or printed name of person

mailing paper or fee)

(Signature of person mailing paper

TITLE: HYPERSENSITIVE RESPONSE ELICITOR

FROM ERWINIA AMYLOVORA, ITS USE,

AND ENCODING GENE

INVENTORS: Adam J. Bogdanove, Jihyun Francis Kim,

Zhong-Min Wei, and Steven V. Beer

DOCKET NO.: 19603-1661 (CRF D-2098A)

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HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

This application claims benefit of U.S. Provisional Patent Application Serial No. 60/055,105, filed August 4, 1997. 5

FIELD OF THE INVENTION

The present invention relates to a hypersensitive response elicitor from 10 Erwinia amvlovora, its use, and encoding gene.

BACKGROUND OF THE INVENTION

Interactions between bacterial pathogens and their plant hosts generally fall into two categories: (1) compatible (pathogen-host), leading to intercellular bacterial growth, symptom development, and disease development in the host plant; and (2) incompatible (pathogen-nonhost), resulting in the hypersensitive response, a particular type of incompatible interaction occurring, without progressive disease symptoms. During compatible interactions on host plants, bacterial populations increase dramatically and progressive symptoms occur. During incompatible interactions, bacterial populations do not increase, and progressive symptoms do not occur.

The hypersensitive response ("HR") is a rapid, localized necrosis that is associated with the active defense of plants against many pathogens (Kiraly, Z., "Defenses Triggered by the Invader: Hypersensitivity," pages 201-224 in: Plant 25 Disease: An Advanced Treatise, Vol. 5, J.G. Horsfall and E.B. Cowling, ed. Academic Press New York (1980); Klement, Z., "Hypersensitivity," pages 149-177 in: Phytopathogenic Prokaryotes, Vol. 2, M.S. Mount and G.H. Lacy, ed. Academic Press, New York (1982)). The hypersensitive response elicited by bacteria is readily observed as a tissue collapse if high concentrations (> 107 cells/ml) of a limited host-range pathogen like Pseudomonas syringae or Erwinia amylovora are infiltrated into the leaves of nonhost plants (necrosis occurs only in isolated plant cells at lower levels of inoculum) (Klement, Z., "Rapid Detection of Pathogenicity of Phytopathogenic Pseudomonads," Nature 199:299-300; Klement, et al.,

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"Hypersensitive Reaction Induced by Phytopathogenic Bacteria in the Tobacco Leaf," Phytopathology 54:474-477 (1963); Turner, et al., "The Quantitative Relation Between Plant and Bacterial Cells Involved in the Hypersensitive Reaction," Phytopathology 64:885-890 (1974); Klement, Z., "Hypersensitivity," pages 149-177 in Phytopathogenic Prokaryotes, Vol. 2., M.S. Mount and G.H. Lacy, ed. Academic Press. New York (1982)). The capacities to elicit the hypersensitive response in a nonhost and be pathogenic in a host appear linked. As noted by Klement, Z., "Hypersensitivity," pages 149-177 in Phytopathogenic Prokaryotes, Vol. 2., M.S. Mount and G.H. Lacy, ed. Academic Press, New York, these pathogens also cause physiologically similar, albeit delayed, necroses in their interactions with compatible hosts. Furthermore, the ability to produce the hypersensitive response or pathogenesis is dependent on a common set of genes, denoted hrp (Lindgren, P.B., et al., "Gene Cluster of Pseudomonas syringae pv. 'phaseolicola' Controls Pathogenicity of Bean Plants and Hypersensitivity on Nonhost Plants," J. Bacteriol. 168:512-22 (1986); Willis, D.K., et al., "hrp Genes of Phytopathogenic Bacteria," Mol. Plant-Microbe Interact, 4:132-138 (1991)). Consequently, the hypersensitive response may hold clues to both the nature of plant defense and the basis for bacterial pathogenicity.

The hrp genes are widespread in gram-negative plant pathogens, where they are clustered, conserved, and in some cases interchangeable (Willis, D.K., et al., "hrp Genes of Phytopathogenic Bacteria," Mol. Plant-Microbe Interact. 4:132-138 (1991); Bonas, U., "hrp Genes of Phytopathogenic Bacteria," pages 79-98 in: Current Topics in Microbiology and Immunology: Bacterial Pathogenesis of Plants and Animals - Molecular and Cellular Mechanisms, J.L. Dangl, ed. Springer-Verlag, Berlin (1994)). Several hrp genes encode components of a protein secretion pathway similar to one used by Yersinia, Shigella, and Salmonella spp. to secrete proteins essential in animal diseases (Van Gijsegem, et al., "Evolutionary Conservation of Pathogenicity Determinants Among Plant and Animal Pathogenic Bacteria," Trends Microbiol. 1:175-180 (1993)). In E. amylovora, P. syringae, and P. solanacearum, hrp genes have been shown to control the production and secretion of glycine-rich, protein elicitors of the hypersensitive response (He, S.Y., et al. "Pseudomonas Syringae pv. Syringae Harpings: a Protein that is Secreted via the Hrp Pathway and Elicits the Hypersensitive Response in Plants," Cell 73:1255-1266 (1993), Wei, Z.-H.,

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et al., "HrpI of Erwinia amylovora Functions in Secretion of Harpin and is a Member of a New Protein Family," J. Bacteriol. 175:7958-7967 (1993); Arlat, M. et al. "PopA1, a Protein Which Induces a Hypersensitive-like Response on Specific Petunia Genotypes, is Secreted via the Hrp Pathway of Pseudomonas solanacearum," EMBO J. 13:543-553 (1994)).

The first of these proteins was discovered in E. amylovora Ea321, a bacterium that causes fire blight of rosaceous plants, and was designated harpin (Wei, Z.-M., et al, "Harpin, Elicitor of the Hypersensitive Response Produced by the Plant Pathogen Erwinia amylovora," Science 257:85-88 (1992)). Mutations in the encoding hrpN gene revealed that the hypersensitive response elicitor is required for E. amylovora to elicit a hypersensitive response in nonhost tobacco leaves and incite disease symptoms in highly susceptible pear fruit. The P. solanacearum GMI1000 PopA1 protein has similar physical properties and also elicits the hypersensitive response in leaves of tobacco, which is not a host of that strain (Arlat, et al. "PopA1, a Protein Which Induces a Hypersensitive-like Response on Specific Petunia Genotypes, is Secreted via the Hrp Pathway of Pseudomonas solanacearum," EMBO J. 13:543-53 (1994)). However, P. solanacearum popA mutants still elicit the hypersensitive response in tobacco and incite disease in tomato. Thus, the role of these glycine-rich hypersensitive response elicitors can vary widely among gram-negative plant pathogens.

Other plant pathogenic hypersensitive response elicitors have been isolated and their encoding genes have been cloned and sequenced. These include: Erwinia chrysanthemi (Bauer, et. al., "Erwinia chrysanthemi Harpin_{Ech}: Soft-Rot Pathogenesis," MPMI 8(4): 484-91 (1995)); Erwinia carotovora (Cui, et. al., "The RsmA' Mutants of Erwinia carotovora subsp. carotovora Strain Ecc71 Overexpress hrpN_{Ecc} and Elicit a Hypersensitive Reaction-like Response in Tobacco Leaves," MPMI 9(7): 565-73 (1966)); Erwinia stewartii (Ahmad, et. al., "Harpin is not Necessary for the Pathogenicity of Erwinia stewartii on Maize," 8th Int'l. Cong. Molec. Plant-Microb. Inter. July 14-19, 1996 and Ahmad, et. al., "Harpin is not Necessary for the Pathogenicity of Erwinia stewartii on Maize," Ann. Mtg. Am. Phytopath. Soc. July 27-31, 1996); and Pseudomonas syringae pv. syringae (WO 94/26782 to Cornell Research Foundation, Inc.).

The present invention is a further advance in the effort to identify, clone, and sequence hypersensitive response elicitor proteins or polypeptides from plant pathogens.

SUMMARY OF THE INVENTION

The present invention is directed to an isolated protein or polypeptide which elicits a hypersensitive response in plants as well as an isolated DNA molecule which encodes the hypersensitive response eliciting protein or polypeptide.

The hypersensitive response eliciting protein or polypeptide can be used to impart disease resistance to plants, to enhance plant growth, and/or to control insects. This involves applying the hypersensitive response elicitor protein or polypeptide in a non-infectious form to plants or plant seeds under conditions effective to impart disease resistance, to enhance plant growth, and/or to control insects on plants or plants grown from the plant seeds.

As an alternative to applying the hypersensitive response elicitor protein or polypeptide to plants or plant seeds in order to impart disease resistance, to enhance plant growth, and/or to control insects on plants, transgenic plants or plant seeds can be utilized. When utilizing transgenic plants, this involves providing a transgenic plant transformed with a DNA molecule encoding a hypersensitive response elicitor protein or polypeptide and growing the plant under conditions effective to impart disease resistance, to enhance plant growth, and/or to control insects in the plants or plants grown from the plant seeds. Alternatively, a transgenic plant seed transformed with the DNA molecule encoding a hypersensitive response elicitor protein or polypeptide can be provided and planted in soil. A plant is then propagated under conditions effective to impart disease resistance, to enhance plant growth, and/or to control insects on plants or plants grown from the plant seeds.

BRIEF DESCRIPTION OF THE DRAWINGS

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Figures 1A-D show mutagenesis, complementation and heterologous expression constructs, and homology with and complementation of mutants by the arrE locus of P. syringae for the dspE operon of E. amylovora. Dashed boxes are

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uncharacterized ORFs; a filled triangle indicates a *hrp* (i.e. hypersensitive response elicitor encoding gene); box is a regulatory sequence that preceeds many *hrp* genes; and an open triangle indicates another promoter. Thick lines delineate the DNA for which sequence was accessioned. Figure 1A shows the *dsp/hrp* gene cluster of

- 5 E. amylowora in pCPP430. Operon names and types of proteins encoded are indicated at the top. B, BamHI; E, EcoRI; H, HindIII. Half-arrows indicate internal promoters without similarity to the hrp box consensus. Figure 1B shows the region downstream of hrpN containing the dspE operon. Circles mark deletion mutations and representative transposon insertions: black, non-pathogenic and HR⁺ (i.e.
- hypersensitive response eliciting) or HR reduced (dsp); gray, reduced virulence and HR; white, wild-type. T104 lies within the area marked by the dashed double arrow. K, Tn5miniKm; P, Tn5phoA; T, Tn10tet'; Δ, deletion mutation. The gray box is A/T-rich DNA. Figure 1C shows the clones and subclones of the dspE operon. Plasmid designations are indicated at the left, and vector-borne promoters at the right.
- 15 Restriction sites used for subcloning not shown above are shown in parentheses. A "+" aligned with a circle representing a mutation in B indicates that the subclone complements that mutation. Figure 1D shows the avrE locus (transcription units III and IV) of P. syringae pv. tomato DC3000 in pCPP2357. Percent amino acid identity of the predicted proteins AvrE and AvrF to DspE and DspF, respectively, are indicated. Black rectangles are transcriptional terminators (inverted repeats). Complementation of mutations shown in Figure 1B are depicted as in Figure 1C, above.

Figure 2 shows the expression of the full-length and the N-terminal half of DspE in recombinant $E.\ coli$ DH5 α . Lysates of cells carrying either pCPP1259, containing the entire dspE operon (lane A); pCPP50, the cloning vector (lane B); or pCPP1244, containing only the 5' half of the dspE gene (lane C), were subjected to SDS-PAGE followed by Coomassie staining. Bands corresponding to DspE (lane A) and the N-terminal half of DspE (lane C) are marked by arrows. Migration of molecular weight markers is indicated on the left.

Figures 3A-D show the role of dspe in pathogenicity and HR elicitation. Figure 3A shows immature pear fruit 4 days after inoculation with (left to right) strains Ea321, Ea321 $dspE\Delta$ 1554, or Ea321 $dspE\Delta$ 1554 harboring the 5' half of

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dspE on pCPP1237. Figure 3B shows Norchief soybean leaf 24 hr after infiltration with (1) Ea321, (2) Ea321 $dspE\Delta$ 1554, (3) Ea321 $dspE\Delta$ 1557.85-88 (1992), which is hereby incorporated by reference), and (4) Ea321hrpL::Tn5 (Wei, et al., <u>J. Bacteriol.</u>, 177:6201-10 (1995), which is hereby incorporated by reference). Figure 3C shows a tobacco leaf 48 hr after infiltration with parallel dilution series of suspensions of strains (left) Ea321 and (right) Ea321 $dspE\Delta$ 1554. The concentrations infiltrated (top to bottom) are 1 x 10¹⁰, 1 x 10⁹, 5 x 10⁸, and 5 x 10⁷ cfu/ml. Figure 3D is like Figure 3C except the more virulent strain Ea273 and corresponding mutant Ea273 $dspE\Delta$ 1554 were used, and concentrations ranged from 5 x 10⁹ to 5 x 10⁹ of dsp1/ml in log increments.

Figure 4 shows the expression of a promoterless GUS construct fused to dspE in E. amylovora Ea273. Ea273 and Ea273dspE::uidA (a merodiploid containing both a wild-type dspE and a truncated dspE fused to the uidA gene; black bars) were grown in LB or Hrp MM, or inoculated to immature pear fruit. Ea273dspE::uidAhrpL::Tn5 (dark gray bar) and Ea273hreV::Tn5uidA (light gray bar) were also grown in hrp MM. Values shown represent means of triplicate samples normalized for bacterial cell concentration. Standard deviations are represented by lines extending from each bar. The mean values for three samples of Ea273 in each assay were subtracted from, and standard deviations added to, the corresponding values obtained for the other strains.

Figures 5A-C show the transgeneric avirulence function of the dspE operon and complementation of a dspE mutant with the avrE locus. Norchief soybean leaves were either (See Figure 5A) infiltrated with 1×10^8 cfu/ml suspensions of (left) P. syringae pv. glycinea race 4 carrying pCPP1250 (containing the dspE operon) or (right) pML 122 (the cloning vector) and photographed after 24 hr at room temperature or (See Figure 5B) infiltrated with 8×10^5 cfu/ml suspensions of the same strains and photographed after seven days at 22° C and high relative humidity. Tissue collapse is apparent on both leaves where the strain carrying pCPP1250 was infiltrated. On the leaf incubated for seven days, chlorosis extending beyond the infiltrated area, typical of disease, is apparent on the half infiltrated with the strain carrying the vector only. The dark section on the side of the leaf infiltrated with the strain carrying pCPP1250 is a shadow caused by a buckle in the leaf. Figure 5C

shows pear halves inoculated with (left to right) Ea321, Ea321 $dspE\Delta$ 1521(pCPP2357, containing the avrE locus), or Ea321 $dspE\Delta$ 1521(pCPP2357avrE::Tn5uidA) and photographed after seven days. Although symptoms are greatly reduced relative to wild type, necrosis is apparent around and drops of ooze can be seen within the well of the fruit inoculated with the dspE strain carrying the intact avrE locus. The fruit inoculated with the dspE strain carrying a disrupted clone of avrE is symptomless.

DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to an isolated DNA molecule having a nucleotide sequence of SEQ. ID. No. 1 as follows:

	ATGGAATTAA	AATCACTGGG	AACTGAACAC	AAGGCGGCAG	TACACACAGC	GGCGCACAAC	60
.5	CCTGTGGGGC	ATGGTGTTGC	CTTACAGCAG	GGCAGCAGCA	GCAGCAGCCC	GCAAAATGCC	120
	GCTGCATCAT	TGGCGGCAGA	AGGCAAAAAT	CGTGGGAAAA	TGCCGAGAAT	TCACCAGCCA	180
2.0	TCTACTGCGG	CTGATGGTAT	CAGCGCTGCT	CACCAGCAAA	AGAAATCCTT	CAGTCTCAGG	240
.0	GGCTGTTTGG	GGACGAAAAA	ATTTTCCAGA	TCGGCACCGC	AGGGCCAGCC	AGGTACCACC	300
	CACAGCAAAG	GGGCAACATT	GCGCGATCTG	CTGGCGCGGG	ACGACGGCGA	AACGCAGCAT	360
25	GAGGCGGCCG	CGCCAGATGC	GGCGCGTTTG	ACCCGTTCGG	GCGGCGTCAA	ACGCCGCAAT	420
	ATGGACGACA	TGGCCGGGCG	GCCAATGGTG	AAAGGTGGCA	GCGGCGAAGA	TAAGGTACCA	480
10	ACGCAGCAAA	AACGGCATCA	GCTGAACAAT	TTTGGCCAGA	TGCGCCAAAC	GATGTTGAGC	540
,,,	AAAATGGCTC	ACCOGGCTTC	AGCCAACGCC	GGCGATCGCC	TGCAGCATTC	ACCGCCGCAC	600
	ATCCCGGGTA	GCCACCACGA	AATCAAGGAA	GAACCGGTTG	GCTCCACCAG	CAAGGCAACA	660
35	ACGGCCCACG	CAGACAGAGT	GGAAATCGCT	CAGGAAGATĢ	ACGACAGCGA	ATTCCAGCAA	720
	CTGCATCAAC	AGCGGCTGGC	G CG OGAACGG	GAAAATCCAC	CGCAGCCGCC	CAAACTCGGC	780
10	GTTGCCACAC	CGATTAGCGC	CAGGTTTCAG	CCCAAACTGA	CTGCGGTTGC	GGAAAGCGTC	840
ro	CTTGAGGGGA	CAGATACCAC	GCAGTCACCC	CTTAAGCCGC	AATCAATGCT	GAAAGGAAGT	900
	GGAGCCGGGG	TAACGCCGCT	GGCGGTAACG	CTGGATAAAG	GCAAGTTGCA	GCTGGCACCG	960
15	GATAATCCAC	CCGCGCTCAA	TACGTTGTTG	AAGCAGACAT	TGGGTAAAGA	CACCCAGCAC	1020
	TATCTGGCGC	ACCATGCCAG	CAGCGACGGT	AGCCAGCATC	TGCTGCTGGA	CAACAAAGGC	1080
50	CACCTGTTTG	ATATCAAAAG	CACCGCCACC	AGCTATAGCG	TGCTGCACAA	CAGCCACCCC	1140
	GGTGAGATAA	AGGGCAAGCT	GGCGCAGGCG	GGTACTGGCT	CCGTCAGCGT	AGACGGTAAA	1200

AGCGGCAAGA TCTCGCTGGG GAGCGGTACG CAAAGTCACA ACAAAACAAT GCTAAGCCAA 1260 CCGGGGGAAG CGCACCGTTC CTTATTAACC GGCATTTGGC AGCATCCTGC TGGCGCAGCG 1320 5 CGGCCGCAGG GCGAGTCAAT CCGCCTGCAT GACGACAAAA TTCATATCCT GCATCCGGAG 1380 CTGGGCGTAT GGCAATCTGC GGATAAAGAT ACCCACAGCC AGCTGTCTCG CCAGGCAGAC 1440 GGTAAGCTCT ATGCGCTGAA AGACAACCGT ACCCTGCAAA ACCTCTCCGA TAATAAATCC 1500 10 TCAGAAAAGC TGGTCGATAA AATCAAATCG TATTCCGTTG ATCAGCGGGG GCAGGTGGCG 1560 ATCCTGACGG ATACTCCCGG CCGCCATAAG ATGAGTATTA TGCCCTCGCT GGATGCTTCC 1620 15 CCGGAGAGCC ATATTTCCCT CAGCCTGCAT TTTGCCGATG CCCACCAGGG GTTATTGCAC 1680 GGGAAGTCGG AGCTTGAGGC ACAATCTGTC GCGATCAGCC ATGGGCGACT GGTTGTGGCC 1740 GATAGCGAAG GCAAGCTGTT TAGCGCCGCC ATTCCGAAGC AAGGGGATGG AAACGAACTG 1800 20 AAAATGAAAG CCATGCCTCA GCATGCGCTC GATGAACATT TTGGTCATGA CCACCAGATT TCTGGATTTT TCCATGACGA CCACGCCAG CTTAATGCGC TGGTGAAAAA TAACTTCAGG 1920 25 CAGCAGCATG CCTGCCCGTT GGGTAACGAT CATCAGTTTC ACCCCGGCTG GAACCTGACT 1980 GATGCGCTGG TTATCGACAA TCAGCTGGGG CTGCATCATA CCAATCCTGA ACCGCATGAG 2040 ATTCTTGATA TGGGGCATTT AGGCAGCCTG GCGTTACAGG AGGGCAAGCT TCACTATTTT 2100 30 GACCAGCTGA CCAAAGGGTG GACTGGCGCG GAGTCAGATT GTAAGCAGCT GAAAAAAGGC 2160 CTGGATGGAG CAGCTTATCT ACTGAAAGAC GGTGAAGTGA AACGCCTGAA TATTAATCAG 2220 35 AGCACCTCCT CTATCAAGCA CGGAACGGAA AACGTTTTTT CGCTGCCGCA TGTGCGCAAT 2280 AAACCGGAGC CGGGAGATGC CCTGCAAGGG CTGAATAAAG ACGATAAGGC CCAGGCCATG 2340 GCGGTGATTG GGGTAAATAA ATACCTGGCG CTGACGGAAA AAGGGGACAT TCGCTCCTTC 2400 40 CAGATAAAAC COGGCACCCA GCAGTTGGAG CGGCCGGCAC AAACTCTCAG CCGCGAAGGT 2460 ATCAGCGGCG AACTGAAAGA CATTCATGTC GACCACAAGC AGAACCTGTA TGCCTTGACC 2520 45 CACGAGGGAG AGGTGTTTCA TCAGCCGCGT GAAGCCTGGC AGAATGGTGC CGAAAGCAGC 2580 AGCTGGCACA AACTGGCGTT GCCACAGAGT GAAAGTAAGC TAAAAAGTCT GGACATGAGC 2640 CATGAGCACA AACCGATTGC CACCTTTGAA GACGGTAGCC AGCATCAGCT GAAGGCTGGC 2700 50 GGCTGGCACG CCTATGCGGC ACCTGAACGC GGGCCGCTGG CGGTGGGTAC CAGCGGTTCA 2760 CAAACCGTCT TTAACCGACT AATGCAGGGG GTGAAAGGCA AGGTGATCCC AGGCAGCGGG 2820 55 TTGACGGTTA AGCTCTCGGC TCAGACGGGG GGAATGACCG GCGCGAAGG GCGCAAGGTC 2880 AGCAGTAAAT TTTCCGAAAG GATCCGCGCC TATGCGTTCA ACCCAACAAT GTCCACGCCG 2940 CGACCGATTA AAAATGCTGC TTATGCCACA CAGCACGGCT GGCAGGGGCG TGAGGGGTTG 3000 60 AAGCCGTTGT ACGAGATGCA GGGAGCGCTG ATTAAACAAC TGGATGCGCA TAACGTTCGT

CATAACGCGC CACAGCCAGA TTTGCAGAGC AAACTGGAAA CTCTGGATTT AGGCGAACAT 3120 GGCGCAGAAT TGCTTAACGA CATGAAGCGC TTCCGCGACG AACTGGAGCA GAGTGCAACC 3180 CCTTCGCTGA CCGTTTTAGG TCAACATCAG GGAGTGCTAA AAAGCAACGG TGAAATCAAT 3240 AGCGAATTTA AGCCATCGCC CGGCAAGGCG TTGGTCCAGA GCTTTAACGT CAATCGCTCT 3300 GGTCAGGATC TAAGCAAGTC ACTGCAACAG GCAGTACATG CCACGCCGCC ATCCGCAGAG 3360 10 AGTAAACTGC AATCCATGCT GGGGCACTTT GTCAGTGCCG GGGTGGATAT GAGTCATCAG 3420 AAGGGCGAGA TCCCGCTGGG CCGCCAGCGC GATCCGAATG ATAAAACCGC ACTGACCAAA 3480 TCGCGTTTAA TTTTAGATAC CGTGACCATC GGTGAACTGC ATGAACTGGC CGATAAGGCG 15 3540 ARACTGGTAT CTGACCATAA ACCCGATGCC GATCAGATAA AACAGCTGCG CCAGCAGTTC 3600 GATACGCTGC GTGAAAAGCG GTATGAGAGC AATCCGGTGA AGCATTACAC CGATATGGGC 3660 20 TTCACCCATA ATAAGGCGCT GGAAGCAAAC TATGATGCGG TCAAAGCCTT TATCAATGCC 3720 TTTAAGAAAG AGCACCACGG CGTCAATCTG ACCACGCGTA CCGTACTGGA ATCACAGGGC 3780 AGTGCGGAGC TGGCGAAGAA GCTCAAGAAT ACGCTGTTGT CCCTGGACAG TGGTGAAAGT 3840 2.5 ATGAGCTTCA GCCGGTCATA TGGCGGGGGC GTCAGCACTG TCTTTGTGCC TACCCTTAGC 3900 AAGAAGGTGC CAGTTCCGGT GATCCCCGGA GCCGGCATCA CGCTGGATCG CGCCTATAAC 3960 30 CTGAGCTTCA GTCGTACCAG CGGCGGATTG AACGTCAGTT TTGGCCGCGA CGGCGGGGTG 4020 AGTGGTAACA TCATGGTCGC TACCGGCCAT GATGTGATGC CCTATATGAC CGGTAAGAAA 4080 ACCAGTGCAG GTAACGCCAG TGACTGGTTG AGCGCAAAAC ATAAAATCAG CCCGGACTTG 35 4140 CGTATCGGCG CTGCTGTGAG TGGCACCCTG CAAGGAACGC TACAAAACAG CCTGAAGTTT 4200 AAGCTGACAG AGGATGAGCT GCCTGGCTTT ATCCATGGCT TGACGCATGG CACGTTGACC 4260 40 CCGGCAGAAC TGTTGCAAAA GGGGATCGAA CATCAGATGA AGCAGGGCAG CAAACTGACG 4320 TTTAGCGTCG ATACCTCGGC AAATCTGGAT CTGCGTGCCG GTATCAATCT GAACGAAGAC 4380 GGCAGTAAAC CAAATGGTGT CACTGCCCGT GTTTCTGCCG GGCTAAGTGC ATCGGCAAAC 45 4440 CTGGCCGCCG GCTCGCGTGA ACGCAGCACC ACCTCTGGCC AGTTTGGCAG CACGACTTCG 4500 GCCAGCAATA ACCGCCCAAC CTTCCTCAAC GGGGTCGGCG CGGGTGCTAA CCTGACGGCT 4560 50 GCTTTAGGGG TTGCCCATTC ATCTACGCAT GAAGGGAAAC CGGTCGGGAT CTTCCCGGCA 4620 TTTACCTCGA CCAATGTTTC GGCAGCGCTG GCGCTGGATA ACCGTACCTC ACAGAGTATC 4680 AGCCTGGAAT TGAAGCGCGC GGAGCCGGTG ACCAGCAACG ATATCAGCGA GTTGACCTCC 55 4740 ACGCTGGGAA AACACTTTAA GGATAGCGCC ACAACGAAGA TGCTTGCCGC TCTCAAAGAG 4800 TTAGATGACG CTAAGCCCGC TGAACAACTG CATATTTTAC AGCAGCATTT CAGTGCAAAA 4860 60 GATGTCGTCG GTGATGAACG CTACGAGGCG GTGCGCAACC TGAAAAAACT GGTGATACGT 4920

	CAACAGGCTG	CGGACAGCCA	CAGCATGGAA	TTAGGATCTG	CCAGTCACAG	CACGACCTAC	4980
	AATAATCTGT	CGAGAATAAA	TAATGACGGC	ATTGTCGAGC	TGCTACACAA	ACATTTCGAT	5040
5	GCGGCATTAC	CAGCAAGCAG	TGCCAAACGT	CTTGGTGAAA	TGATGAATAA	CGATCCGGCA	5100
	CTGAAAGATA	TTATTAAGCA	GCTGCAAAGT	ACGCCGTTCA	GCAGCGCCAG	CGTGTCGATG	5160
10	GAGCTGAAAG	ATGGTCTGCG	TGAGCAGACG	GAAAAAGCAA	TACTGGACGG	TAAGGTCGGT	5220
10	CGTGAAGAAG	TGGGAGTACT	TTTCCAGGAT	CGTAACAACT	TGCGTGTTAA	ATCGGTCAGC	5280
	GTCAGTCAGT	CCGTCAGCAA	AAGCGAAGGC	TTCAATACCC	CAGCGCTGTT	ACTGGGGACG	5340
15	AGCAACAGCG	CTGCTATGAG	CATGGAGCGC	AACATCGGAA	CCATTAATTT	TAAATACGGC	5400
	CAGGATCAGA	ACACCCCACG	GCGATTTACC	CTGGAGGGTG	GAATAGCTCA	GGCTAATCCG	5460
20	CAGGTCGCAT	CTGCGCTTAC	TGATTTGAAG	AAGGAAGGC	TGGAAATGAA	GAGCTAA	5517
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This DNA molecule is known as the dspE gene. This isolated DNA molecule of the present invention encodes a protein or polypeptide which elicits a plant pathogen's hypersensitive response having an amino acid sequence of SEQ. ID. No. 2 as follows:

	Met Gl	u Leu	Lys	Ser 5	Leu	Gly	Thr	Glu	His 10	Lys	Ala	Ala	Val	His 15	Thr
30	Ala Al	a His	Asn 20	Pro	Val	Gly	His	Gly 25	Val	Ala	Leu	Gln	Gln 30	Gly	Ser
	Ser Se	r Ser 35	Ser	Pro	Gln	Asn	Ala 40	Ala	Ala	Ser	Leu	Ala 45	Ala	Glu	Gly
35	Lys As		Gly	Lys	Met	Pro 55	Arg	Ile	His	Gln	Pro 60	Ser	Thr	Ala	Ala
40	Asp Gl 65	y Ile	Ser	Ala	Ala 70	His	Gln	Gln	Lys	Lys 75	Ser	Phe	Ser	Leu	Arg 80
40	Gly C	s Leu	Gly	Thr 85	Lys	Lys	Phe	Ser	Arg 90	Ser	Ala	Pro	Gln	Gly 95	Gln
45	Pro Gl	y Thr	Thr 100	His	Ser	Lys	Gly	Ala 105	Thr	Leu	Arg	Asp	Leu 110	Leu	Ala
	Arg As	p Asp 115		Glu	Thr	Gln	His 120	Glu	Ala	Ala	Ala	Pro 125	Asp	Ala	Ala
50	Arg Le		Arg	Ser	Gly	Gly 135	Val	Lys	Arg	Arg	Asn 140	Met	Asp	Asp	Met
55	Ala Gl 145	y Arg	Pro	Met	Val 150		Gly	Gly	Ser	Gly 155	Glu	Asp	Lys	Val	Pro 160
33	Thr G	n Glr	Lys	Arg 165	His	Gln	Leu	Asn	Asn 170	Phe	Gly	Gln	Met	Arg 175	Gln

Thr Met Leu Ser Lys Met Ala His Pro Ala Ser Ala Asn Ala Gly Asp Arg Leu Gln His Ser Pro Pro His Ile Pro Gly Ser His His Glu Ile 5 200 Lys Glu Glu Pro Val Gly Ser Thr Ser Lys Ala Thr Thr Ala His Ala 215 10 Asp Arg Val Glu Ile Ala Gln Glu Asp Asp Asp Ser Glu Phe Gln Gln 235 Leu His Gln Gln Arg Leu Ala Arg Glu Arg Glu Asn Pro Pro Gln Pro 15 Pro Lvs Leu Glv Val Ala Thr Pro Ile Ser Ala Arq Phe Gln Pro Lvs 265 Leu Thr Ala Val Ala Glu Ser Val Leu Glu Gly Thr Asp Thr Thr Gln 20 280 Ser Pro Leu Lys Pro Gln Ser Met Leu Lys Gly Ser Gly Ala Gly Val 25 Thr Pro Leu Ala Val Thr Leu Asp Lys Gly Lys Leu Gln Leu Ala Pro Asp Asn Pro Pro Ala Leu Asn Thr Leu Leu Lys Gln Thr Leu Gly Lys 330 30 Asp Thr Gln His Tyr Leu Ala His His Ala Ser Ser Asp Gly Ser Gln 345 His Leu Leu Leu Asp Asn Lys Gly His Leu Phe Asp Ile Lys Ser Thr 35 360 Ala Thr Ser Tyr Ser Val Leu His Asn Ser His Pro Gly Glu Ile Lys 375 40 Gly Lys Leu Ala Gln Ala Gly Thr Gly Ser Val Ser Val Asp Gly Lys Ser Gly Lys Ile Ser Leu Gly Ser Gly Thr Gln Ser His Asn Lys Thr 410 45 Met Leu Ser Gln Pro Gly Glu Ala His Arg Ser Leu Leu Thr Gly Ile 425 Trp Gln His Pro Ala Gly Ala Ala Arg Pro Gln Gly Glu Ser Ile Arg 50 Leu His Asp Asp Lys Ile His Ile Leu His Pro Glu Leu Gly Val Trp 455 55 Gln Ser Ala Asp Lys Asp Thr His Ser Gln Leu Ser Arg Gln Ala Asp 465 470 Gly Lys Leu Tyr Ala Leu Lys Asp Asn Arg Thr Leu Gln Asn Leu Ser 490 60 Asp Asn Lys Ser Ser Glu Lys Leu Val Asp Lys Ile Lys Ser Tyr Ser 500 505

	Val	Asp	Gln 515	Arg	Gly	Gln	Val	Ala 520	Ile	Leu	Thr	Asp	Thr 525	Pro	Gly	Arg
5	His	Lys 530	Met	Ser	Ile	Met	Pro 535	Ser	Leu	Asp	Ala	Ser 540	Pro	Glu	Ser	His
10	Ile 545	Ser	Leu	Ser	Leu	His 550	Phe	Ala	Asp	Ala	His 555	Gln	Gly	Leu	Leu	His 560
10	Gly	Lys	Ser	Glu	Leu 565	Glu	Ala	Gln	Ser	Val 570	Ala	Ile	Ser	His	Gly 575	Arg
15	Leu	Val	Val	Ala 580	Asp	Ser	Glu	Gly	Lys 585	Leu	Phe	Ser	Ala	Ala 590	Ile	Pro
	Lys	Gln	Gly 595	Asp	Gly	Asn	Glu	Leu 600	Lys	Met	Lys	Ala	Met 605	Pro	Gln	His
20	Ala	Leu 610	Asp	Glu	His	Phe	Gly 615	His	Asp	His	Gln	Ile 620	Ser	Gly	Phe	Phe
25	His 625	Asp	Asp	His	Gly	Gln 630	Leu	Asn	Ala	Leu	Val 635	Lys	Asn	Asn	Phe	Arg 640
23	Gln	Gln	His	Ala	Cys 645	Pro	Leu	Gly	Asn	Asp 650	His	Gln	Phe	His	Pro 655	Gly
30	Trp	Asn	Leu	Thr 660	Asp	Ala	Leu	Val	Ile 665	Asp	Asn	Gln	Leu	Gly 670	Leu	His
	His	Thr	Asn 675	Pro	Glu	Pro	His	Glu 680	Ile	Leu	Asp	Met	Gly 685	His	Leu	Gly
35	Ser	Leu 690	Ala	Leu	Gln	Glu	Gly 695	Lys	Leu	His	Tyr	Phe 700	Asp	Gln	Leu	Thr
40	Lys 705	Gly	Trp	Thr	Gly	Ala 710	Glu	Ser	Asp	Cys	Lys 715	Gln	Leu	Lys	Lys	Gly 720
	Leu	Asp	Gly	Ala	Ala 725	Tyr	Leu	Leu	Lys	Asp 730	Gly	Glu	Val	Lys	Arg 735	Leu
45	Asn	Ile	Asn	Gln 740	Ser	Thr	Ser	Ser	Ile 745	Lys	His	Gly	Thr	Glu 750	Asn	Val
	Phe	Ser	Leu 755	Pro	His	Val	Arg	Asn 760	Lys	Pro	Glu	Pro	Gly 765	Asp	Ala	Leu
50	Gln	Gly 770	Leu	Asn	Lys	Asp	Asp 775	Lys	Ala	Gln	Ala	Met 780		Val	Ile	Gly
55	Val 785	Asn	Lys	Tyr	Leu	Ala 790	Leu	Thr	Glu	Lys	Gly 795	Asp	Ile	Arg	Ser	Phe 800
	Gln	Ile	Lys	Pro	Gly 805	Thr	Gln	Gln	Leu	Glu 810	Arg	Pro	Ala	Gln	Thr 815	Leu
60	Ser	Arg	Glu	Gly 820	Ile	Ser	Gly	Glu	Leu 825	Lys	Asp	Ile	His	Val 830	Asp	His

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	Lys	Gln	Asn 835	Leu	Tyr	Ala	Leu	Thr 840	His	Glu	Gly	Glu	Val 845	Phe	His	Gln
5	Pro	Arg 850	Glu	Ala	Trp	Gln	Asn 855	Gly	Ala	Glu	Ser	Ser 860	Ser	Trp	His	Lys
	Leu 865	Ala	Leu	Pro	Gln	Ser 870	Glu	Ser	Lys	Leu	Lys 875	Ser	Leu	Asp	Met	Ser 880
10	His	Glu	His	Lys	Pro 885	Ile	Ala	Thr	Phe	Glu 890	Asp	Gly	Ser	Gln	His 895	Gln
15	Leu	Lys	Ala	Gly 900	Gly	Trp	His	Ala	Tyr 905	Ala	Ala	Pro	Glu	Arg 910	Gly	Pro
	Leu	Ala	Val 915	Gly	Thr	Ser	Gly	Ser 920	Gln	Thr	Val	Phe	Asn 925	Arg	Leu	Met
20	Gln	Gly 930	Val	Lys	Gly	Lys	Val 935	Ile	Pro	Gly	Ser	Gly 940	Leu	Thr	Val	Lys
	Leu 945	Ser	Ala	Gln	Thr	Gly 950	Gly	Met	Thr	Gly	Ala 955	Glu	Gly	Arg	Lys	Val 960
25	Ser	Ser	Lys	Phe	Ser 965	Glu	Arg	Ile	Arg	Ala 970	Tyr	Ala	Phe	Asn	Pro 975	Thr
30	Met	Ser	Thr	Pro 980	Arg	Pro	Ile	Lys	Asn 985	Ala	Ala	Tyr	Ala	Thr 990	Gln	His
	Gly	Trp	Gln 995	Gly	Arg	Glu	Gly	Leu 1000		Pro	Leu	Tyr	Glu 1005		Gln	Gly
35	Ala	Leu 101		Lys	Gln	Leu	Asp 1015		His	Asn	Val	Arg 102		Asn	Ala	Pro
	Gln 102	Pro 5	Asp	Leu	Gln	Ser 1030		Leu	Glu	Thr	Leu 1035		Leu	Gly	Glu	His 1040
40	Gly	Ala	Glu	Leu	Leu 104		Asp	Met	Lys	Arg 1050		Arg	Asp	Glu	Leu 1059	
45	Gln	Ser	Ala	Thr 1060		Ser	Val	Thr	Val 1069		Gly	Gln	His	Gln 1070		Val
	5	Lys	107	5	_			1080)			-	1089	5		
50		Ala 109)				1099	5				110	0		_	
	110					1110)				1115	5				1120
55		Lys			1129	5				1130	0				1139	5
60		Ser		114	0				1145	5				115	0	
	Asn	Asp	Lys 115		Ala	Leu	Thr	Lys 116		Arg	Leu	Ile	Leu 116		Thr	Val

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	1170	Gly Glu Le	I HIS GIV		Asp Lys	Ala Lys 1180	Leu Val	Ser
5	Asp His 1185	Lys Pro As	Ala Asp 1190	Gln Ile	Lys Gln 119		Gln Gln	Phe 1200
10	Asp Thr	Leu Arg Gl 12			Ser Asn 1210	Pro Val	Lys His	
	Thr Asp	Met Gly Ph 1220	e Thr His	Asn Lys 122		Glu Ala	Asn Tyr 1230	Asp
15		Lys Ala Ph 1235	e Ile Asr	Ala Phe 1240	Lys Lys	Glu His 124		Val
	Asn Leu 1250	Thr Thr Ar	Thr Val		Ser Gln	Gly Ser 1260	Ala Glu	Leu
20	Ala Lys 1265	Lys Leu Ly	Asn Thr 1270	Leu Leu	Ser Leu 127		Gly Glu	Ser 1280
25	Met Ser	Phe Ser Ar		Gly Gly	Gly Val 1290	Ser Thr	Val Phe 129	
	Pro Thr	Leu Ser Ly 1300	Lys Val	Pro Val		Ile Pro	Gly Ala 1310	Gly
30		Leu Asp Ar 1315	g Ala Tyr	Asn Leu 1320	Ser Phe	Ser Arg 1329		Gly
	Gly Leu 1330	Asn Val Se	Phe Gly		Gly Gly	Val Ser 1340	Gly Asn	Ile
35	Met Val . 1345	Ala Thr Gl	His Asp 1350	Val Met	Pro Tyr 1355		Gly Lys	Lys 1360
40	Thr Ser	Ala Gly Ası 13		Asp Trp	Leu Ser 1370	Ala Lys	His Lys 1375	
	Ser Pro	Asp Leu Are	; Ile Gly	Ala Ala 1385		Gly Thr	Leu Gln 1390	Gly
45		Gln Asn Se: 1395	Leu Lys	Phe Lys 1400	Leu Thr	Glu Asp 140		Pro
	Gly Phe 1410	Ile His Gl	Leu Thr		Thr Leu	Thr Pro 1420	Ala Glu	Leu
50	Leu Gln 1425	Lys Gly Ile	Glu His	Gln Met	Lys Gln 1435		Lys Leu	Thr 1440
55	Phe Ser	Val Asp Th		Asn Leu	Asp Leu 1450	Arg Ala	Gly Ile 1459	
-	Leu Asn	Glu Asp Gly 1460	Ser Lys	Pro Asn 1465		Thr Ala	Arg Val 1470	Ser
60	Ala Gly	Leu Ser Ala 1475	Ser Ala	Asn Leu 1480	Ala Ala	Gly Ser 1485		Arg

	Ser Thr Thr	Ser Gly	Gln Phe 149		Thr Thr	Ser Ala 1500	Ser Asn	Asn
5	Arg Pro Thr 1505		Asn Gly 1510	Val Gly	Ala Gly		Leu Thr	Ala 1520
	Ala Leu Gly	Val Ala 1525		Ser Thr	His Glu 1530	Gly Lys	Pro Val 153	
10	Ile Phe Pro	Ala Phe 1540	Thr Ser	Thr Asn 154		Ala Ala	Leu Ala 1550	Leu
15	Asp Asn Arg 155		Gln Ser	Ile Ser 1560	Leu Glu	Leu Lys 1565		Glu
	Pro Val Thr 1570	Ser Asn	Asp Ile 157		Leu Thr	Ser Thr 1580	Leu Gly	Lys
20	His Phe Lys 1585		Ala Thr 1590	Thr Lys	Met Leu 1595		Leu Lys	Glu 1600
	Leu Asp Asp	Ala Lys 1605		Glu Gln	Leu His 1610	Ile Leu	Gln Gln 1615	
25	Phe Ser Ala	Lys Asp 1620	Val Val	Gly Asp 1629		Tyr Glu	Ala Val 1630	Arg
30	Asn Leu Lys 163		Val Ile	Arg Gln 1640	Gln Ala	Ala Asp 1645		Ser
	Met Glu Leu 1650	Gly Ser	Ala Ser 1659			Tyr Asn 1660	Asn Leu	Ser
35	Arg Ile Asn 1665		Gly Ile 1670	Val Glu	Leu Leu 1675		His Phe	Asp 1680
	Ala Ala Leu	Pro Ala 1685		Ala Lys	Arg Leu 1690	Gly Glu	Met Met 1695	
40	Asn Asp Pro	Ala Leu 1700	Lys Asp	Ile Ile 1705		Leu Gln	Ser Thr 1710	Pro
45	Phe Ser Ser 171		Val Ser	Met Glu 1720	Leu Lys	Asp Gly 1725		Glu
	Gln Thr Glu 1730		1735	5		1740		
50	Gly Val Leu 1745		1750		1755			1760
	Val Ser Gln	1765			1770		1779	5
55	Leu Leu Gly	1780		1785	5		1790	
60	Gly Thr Ile	5		1800		1809	,	_
	Phe Thr Leu 1810	Glu Gly	Gly Ile 1819			Pro Gln 1820	Val Ala	Ser

Ala Leu Thr Asp Leu Lys Lys Glu Gly Leu Glu Met Lys Ser 1825 1830 1835

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This protein or polypeptide is about 198 kDa and has a pI of 8.98.

The present invention relates to an isolated DNA molecule having a nucleotide sequence of SEQ. ID. No. 3 as follows:

10 ATGACATCGT CACAGCAGCG GGTTGAAAGG TTTTTACAGT ATTTCTCCGC CGGGTGTAAA 60 ACGCCCATAC ATCTGAAAGA CGGGGTGTGC GCCCTGTATA ACGAACAAGA TGAGGAGGCG 120 15 GCGGTGCTGG AAGTACCGCA ACACAGCGAC AGCCTGTTAC TACACTGCCG AATCATTGAG 180 GCTGACCCAC AAACTTCAAT AACCCTGTAT TCGATGCTAT TACAGCTGAA TTTTGAAATG 240 GCGGCCATGC GCGGCTGTTG GCTGGCGCTG GATGAACTGC ACAACGTGCG TTTATGTTTT 300 20 CAGCAGTCGC TGGAGCATCT GGATGAAGCA AGTTTTAGCG ATATCGTTAG CGGCTTCATC 360 GAACATGCGG CAGAAGTGCG TGAGTATATA GCGCAATTAG ACGAGAGTAG CGCGGCATAA 420

This is known as the dspF gene. This isolated DNA molecule of the present invention encodes a hypersensitive response elicitor protein or polypeptide having an amino acid sequence of SEO. ID. No. 4 as follows:

30 Met Thr Ser Ser Gln Gln Arg Val Glu Arg Phe Leu Gln Tyr Phe Ser Ala Gly Cys Lys Thr Pro Ile His Leu Lys Asp Gly Val Cys Ala Leu 20 25 35 Tvr Asn Glu Gln Asp Glu Glu Ala Ala Val Leu Glu Val Pro Gln His Ser Asp Ser Leu Leu Leu His Cys Arg Ile Ile Glu Ala Asp Pro Gln 40 Thr Ser Ile Thr Leu Tyr Ser Met Leu Leu Gln Leu Asn Phe Glu Met 45 Ala Ala Met Arg Gly Cys Trp Leu Ala Leu Asp Glu Leu His Asn Val Arq Leu Cys Phe Gln Gln Ser Leu Glu His Leu Asp Glu Ala Ser Phe 100 105 50 Ser Asp Ile Val Ser Gly Phe Ile Glu His Ala Ala Glu Val Arg Glu 120 Tyr Ile Ala Gln Leu Asp Glu Ser Ser Ala Ala 55 130 135

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This protein or polypeptide is about 16 kDa and has a pI of 4.45.

Fragments of the above hypersensitive response elicitor polypeptide or protein are encompassed by the present invention.

Suitable fragments can be produced by several means. In the first, subclones of the gene encoding the elicitor protein of the present invention are produced by conventional molecular genetic manipulation by subcloning gene fragments. The subclones then are expressed *in vitro* or *in vivo* in bacterial cells to yield a smaller protein or peptide that can be tested for elicitor activity according to the procedure described below.

As an alternative, fragments of an elicitor protein can be produced by digestion of a full-length elicitor protein with proteolytic enzymes like chymotrypsin or *Staphylococcus* proteinase A, or trypsin. Different proteolytic enzymes are likely to cleave elicitor proteins at different sites based on the amino acid sequence of the elicitor protein. Some of the fragments that result from proteolysis may be active elicitors of resistance.

In another approach, based on knowledge of the primary structure of the protein, fragments of the elicitor protein gene may be synthesized by using the PCR technique together with specific sets of primers chosen to represent particular portions of the protein. These then would be cloned into an appropriate vector for increased expression of a truncated peptide or protein.

Chemical synthesis can also be used to make suitable fragments. Such a synthesis is carried out using known amino acid sequences for the elicitor being produced. Alternatively, subjecting a full length elicitor to high temperatures and pressures will produce fragments. These fragments can then be separated by conventional procedures (e.g., chromatography, SDS-PAGE).

Variants may also (or alternatively) be modified by, for example, the deletion or addition of amino acids that have minimal influence on the properties, secondary structure and hydropathic nature of the polypeptide. For example, a polypeptide may be conjugated to a signal (or leader) sequence at the N-terminal end of the protein which co-translationally or post-translationally directs transfer of the protein. The polypeptide may also be conjugated to a linker or other sequence for ease of synthesis, purification, or identification of the polypeptide.

Suitable DNA molecules are those that hybridize to a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 and 3, under stringent conditions. An example of suitable high stringency conditions is when hybridization is carried out at 65°C for 20 hours in a medium containing 1M NaCl, 50 mM Tris-HCl, pH 7.4, 10 mM EDTA, 0.1% sodium dodecyl sulfate, 0.2% ficoll, 0.2% 5 polyvinylpyrrolidone, 0.2% bovine serum albumin, 50 μm g/ml E. coli DNA. However, any DNA molecules hybridizing to a DNA molecule comprising the nucleotide sequences of SEQ. ID. Nos. 1 and 3, under such stringent conditions must not be identical to the nucleic acids encoding the hypersensitive response elicitor proteins or polypeptides of E. amylovora (as disclosed by Wei, Z.-M., et al, "Harpin, 10 Elicitor of the Hypersensitive Response Produced by the Plant Pathogen Erwinia amyloyora." Science 257:85-88 (1992), which is hereby incorporated by reference), Erwinia chrysanthemi (as disclosed by Bauer, et. al., "Erwinia chrysanthemi Harpin_{Ech}: Soft-Rot Pathogenesis," MPMI 8(4): 484-91 (1995), which is hereby incorporated by reference), Erwinia carotovora (as disclosed by Cui, et. al., "The 15 RsmA Mutants of Erwinia carotovora subsp. carotovora Strain Ecc71 Overexpress hrpN_{Fcc} and Elicit a Hypersensitive Reaction-like Response in Tobacco Leaves," MPMI 9(7): 565-73 (1966), which is hereby incorporated by reference), Erwinia stewartii (as disclosed by Ahmad, et. al., "Harpin is not Necessary for the Pathogenicity of Erwinia stewartii on Maize," 8th Int'l. Cong. Molec. Plant-Microb. 20 Inter. July 14-19, 1996 and Ahmad, et. al., "Harpin is not Necessary for the Pathogenicity of Erwinia stewartii on Maize," Ann. Mtg. Am. Phytopath. Soc. July 27-31, 1996), which are hereby incorporated by reference), and Pseudomonas syringae pv. syringae (WO 94/26782 to Cornell Research Foundation, Inc., which is hereby incorporated by reference). 25

The protein or polypeptide of the present invention is preferably produced in purified form (preferably at least about 80%, more preferably 90%, pure) by conventional techniques. Typically, the protein or polypeptide of the present invention is secreted into the growth medium of recombinant host cells.

Alternatively, the protein or polypeptide of the present invention is produced but not secreted into growth medium. In such cases, to isolate the protein, the host cell (e.g., E. colf) carrying a recombinant plasmid is propagated, lysed by sonication, heat, or

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chemical treatment, and the homogenate is centrifuged to remove bacterial debris.

The supernatant is then subjected to sequential ammonium sulfate precipitation. The fraction containing the polypeptide or protein of the present invention is subjected to gel filtration in an appropriately sized dextran or polyacrylamide column to separate the proteins. If necessary, the protein fraction may be further purified by HPLC.

The DNA molecule encoding the hypersensitive response elicitor polypeptide or protein can be incorporated in cells using conventional recombinant DNA technology. Generally, this involves inserting the DNA molecule into an expression system to which the DNA molecule is heterologous (i.e. not normally present). The heterologous DNA molecule is inserted into the expression system or vector in proper sense orientation and correct reading frame. The vector contains the necessary elements for the transcription and translation of the inserted protein-coding sequences.

U.S. Patent No. 4,237,224 to Cohen and Boyer, which is hereby incorporated by reference, describes the production of expression systems in the form of recombinant plasmids using restriction enzyme cleavage and ligation with DNA ligase. These recombinant plasmids are then introduced by means of transformation and replicated in unicellular cultures including procaryotic organisms and eucaryotic cells grown in tissue culture.

Recombinant genes may also be introduced into viruses, such as vaccina virus. Recombinant viruses can be generated by transfection of plasmids into cells infected with virus.

Suitable vectors include, but are not limited to, the following viral vectors such as lambda vector system gt11, gt WES.tB, Charon 4, and plasmid vectors such as pBR322, pBR325, pACYC177, pACYC1084, pUC8, pUC9, pUC18, pUC19, pLG339, pR290, pKC37, pKC101, SV 40, pBluescript II SK +/- or KS +/- (see "Stratagene Cloning Systems" Catalog (1993) from Stratagene, La Jolla, Calif, which is hereby incorporated by reference), pQE, pIH821, pGEX, pET series (see F.W. Studier et. al., "Use of T7 RNA Polymerase to Direct Expression of Cloned Genes," Gene Expression Technology vol. 185 (1990), which is hereby incorporated by reference), and any derivatives thereof. Recombinant molecules can be introduced into cells via transformation, particularly transduction, conjugation, mobilization, or

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electroporation. The DNA sequences are cloned into the vector using standard cloning procedures in the art, as described by Sambrook et al., Molecular Cloning: A Laboratory Manual, Cold Springs Laboratory, Cold Springs Harbor, New York (1989), which is hereby incorporated by reference.

A variety of host-vector systems may be utilized to express the proteinencoding sequence(s). Primarily, the vector system must be compatible with the host
cell used. Host-vector systems include but are not limited to the following: bacteria
transformed with bacteriophage DNA, plasmid DNA, or cosmid DNA;
microorganisms such as yeast containing yeast vectors; mammalian cell systems
infected with virus (e.g., vaccinia virus, adenovirus, etc.); insect cell systems infected
with virus (e.g., baculovirus); and plant cells infected by bacteria. The expression
elements of these vectors vary in their strength and specificities. Depending upon the
host-vector system utilized, any one of a number of suitable transcription and
translation elements can be used.

Different genetic signals and processing events control many levels of gene expression (e.g., DNA transcription and messenger RNA (mRNA) translation).

Transcription of DNA is dependent upon the presence of a promotor which is a DNA sequence that directs the binding of RNA polymerase and thereby promotes mRNA synthesis. The DNA sequences of eucaryotic promotors differ from those of procaryotic promotors. Furthermore, eucaryotic promotors and accompanying genetic signals may not be recognized in or may not function in a procaryotic system, and, further, procaryotic promotors are not recognized and do not function in eucaryotic cells.

Similarly, translation of mRNA in procaryotes depends upon the presence of the proper procaryotic signals which differ from those of eucaryotes. Efficient translation of mRNA in procaryotes requires a ribosome binding site called the Shine-Dalgarno ("SD") sequence on the mRNA. This sequence is a short nucleotide sequence of mRNA that is located before the start codon, usually AUG, which encodes the amino-terminal methionine of the protein. The SD sequences are complementary to the 3'-end of the 16S rRNA (ribosomal RNA) and probably promote binding of mRNA to ribosomes by duplexing with the rRNA to allow correct positioning of the ribosome. For a review on maximizing gene expression, see

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Roberts and Lauer, Methods in Enzymology, 68:473 (1979), which is hereby incorporated by reference.

Promotors vary in their "strength" (i.e. their ability to promote transcription). For the purposes of expressing a cloned gene, it is desirable to use strong promotors in order to obtain a high level of transcription and, hence, expression of the gene. Depending upon the host cell system utilized, any one of a number of suitable promotors may be used. For instance, when cloning in E. coli, its bacteriophages, or plasmids, promotors such as the T7 phage promoter, lac promotor, trp promotor, recA promotor, ribosomal RNA promotor, the P_R and P_L promotors of coliphage lambda and others, including but not limited, to lacUV5, ompF, bla, lpp, and the like, may be used to direct high levels of transcription of adjacent DNA segments. Additionally, a hybrid trp-lacUV5 (tac) promotor or other E. coli promotors produced by recombinant DNA or other synthetic DNA techniques may be used to provide for transcription of the inserted gene.

Bacterial host cell strains and expression vectors may be chosen which inhibit the action of the promotor unless specifically induced. In certain operations, the addition of specific inducers is necessary for efficient transcription of the inserted DNA. For example, the lac operon is induced by the addition of lactose or IPTG (isopropylthio-beta-D-galactoside). A variety of other operons, such as trp, pro, etc., are under different controls.

Specific initiation signals are also required for efficient gene transcription and translation in procaryotic cells. These transcription and translation initiation signals may vary in "strength" as measured by the quantity of gene specific messenger RNA and protein synthesized, respectively. The DNA expression vector, which contains a promotor, may also contain any combination of various "strong" transcription and/or translation initiation signals. For instance, efficient translation in E. cali requires an SD sequence about 7-9 bases 5' to the initiation codon ("ATG") to provide a ribosome binding site. Thus, any SD-ATG combination that can be utilized by host cell ribosomes may be employed. Such combinations include but are not limited to the SD-ATG combination from the cro gene or the N gene of coliphage

lambda, or from the E. coli tryptophan E, D, C, B or A genes. Additionally, any SD-

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ATG combination produced by recombinant DNA or other techniques involving incorporation of synthetic nucleotides may be used.

Once the isolated DNA molecule encoding the hypersensitive response elicitor polypeptide or protein has been cloned into an expression system, it is ready to be incorporated into a host cell. Such incorporation can be carried out by the various forms of transformation noted above, depending upon the vector/host cell system. Suitable host cells include, but are not limited to, bacteria, virus, yeast, mammalian cells, insect, plant, and the like.

The present invention further relates to methods of imparting disease resistance to plants, enhancing plant growth, and/or effecting insect control for plants. These methods involve applying a hypersensitive response elicitor polypeptide or protein in a non-infectious form to all or part of a plant or a plant seed under conditions where the polypeptide or protein contacts all or part of the cells of the plant or plant seed. Alternatively, the hypersensitive response elicitor protein or polypeptide can be applied to plants such that seeds recovered from such plants themselves are able to impart disease resistance in plants, to enhance plant growth, and/or to effect insect control.

As an alternative to applying a hypersensitive response elicitor polypeptide or protein to plants or plant seeds in order to impart disease resistance in plants, to effect plant growth, and/or to control insects on the plants or plants grown from the seeds, transgenic plants or plant seeds can be utilized. When utilizing transgenic plants, this involves providing a transgenic plant transformed with a DNA molecule encoding a hypersensitive response elicitor polypeptide or protein and growing the plant under conditions effective to permit that DNA molecule to impart disease resistance to plants, to enhance plant growth, and/or to control insects.

Alternatively, a transgenic plant seed transformed with a DNA molecule encoding a hypersensitive response elicitor polypeptide or protein can be provided and planted in soil. A plant is then propagated from the planted seed under conditions effective to permit that DNA molecule to impart disease resistance to plants, to enhance plant growth, and/or to control insects.

The embodiment of the present invention where the hypersensitive response elicitor polypeptide or protein is applied to the plant or plant seed can be

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carried out in a number of ways, including: 1) application of an isolated elicitor polypeptide or protein; 2) application of bacteria which do not cause disease and are transformed with genes encoding a hypersensitive response elicitor polypeptide or protein; and 3) application of bacteria which cause disease in some plant species (but not in those to which they are applied) and naturally contain a gene encoding the hypersensitive response elicitor polypeptide or protein.

In one embodiment of the present invention, the hypersensitive response elicitor polypeptide or protein of the present invention can be isolated from *Erwinia amylovora* as described in the Examples *infra*. Preferably, however, the isolated hypersensitive response elicitor polypeptide or protein of the present invention is produced recombinantly and purified as described *supra*.

In other embodiments of the present invention, the hypersensitive response elicitor polypeptide or protein of the present invention can be applied to plants or plant seeds by applying bacteria containing genes encoding the hypersensitive response elicitor polypeptide or protein. Such bacteria must be capable of secreting or exporting the polypeptide or protein so that the elicitor can contact plant or plant seed cells. In these embodiments, the hypersensitive response elicitor polypeptide or protein is produced by the bacteria in planta or on seeds or just prior to introduction of the bacteria to the plants or plant seeds.

In one embodiment of the bacterial application mode of the present invention, the bacteria do not cause the disease and have been transformed (e.g., recombinantly) with genes encoding a hypersensitive response elicitor polypeptide or protein. For example, *E. coli*, which does not elicit a hypersensitive response in plants, can be transformed with genes encoding a hypersensitive response elicitor polypeptide or protein and then applied to plants. Bacterial species other than *E. coli* can also be used in this embodiment of the present invention.

In another embodiment of the bacterial application mode of the present invention, the bacteria do cause disease and naturally contain a gene encoding a hypersensitive response elicitor polypeptide or protein. Examples of such bacteria are noted above. However, in this embodiment, these bacteria are applied to plants or their seeds which are not susceptible to the disease carried by the bacteria. For example, Erwinia amylovora causes disease in apple or pear but not in tomato.

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However, such bacteria will elicit a hypersensitive response in tomato. Accordingly, in accordance with this embodiment of the present invention, *Erwinia amylovora* can be applied to tomato plants or seeds to enhance growth without causing disease in that species.

The method of the present invention can be utilized to treat a wide variety of plants or their seeds to impart disease resistance, enhance growth, and/or control insects. Suitable plants include dicots and monocots. More particularly, useful crop plants can include: alfalfa, rice, wheat, barley, rye, cotton, sunflower, peanut, corn, potato, sweet potato, bean, pea, chicory, lettuce, endive, cabbage, brussel sprout, beet, parsnip, turnip, cauliflower, broccoli, turnip, radish, spinach, onion, garlic, eggplant, pepper, celery, carrot, squash, pumpkin, zucchini, cucumber, apple, pear, melon, citrus, strawberry, grape, raspberry, pineapple, soybean, tobacco, tomato, sorghum, and sugarcane. Examples of suitable ornamental plants are:

*Arabidopsis thaliana, Saintpaulia, petunia, pelargonium, poinsettia, chrysanthemum, carnation, and zinnia.

With regard to the use of the hypersensitive response elicitor protein or polypeptide of the present invention in imparting disease resistance, absolute immunity against infection may not be conferred, but the severity of the disease is reduced and symptom development is delayed. Lesion number, lesion size, and extent of sporulation of fungal pathogens are all decreased. This method of imparting disease resistance has the potential for treating previously untreatable diseases, treating diseases systemically which might not be treated separately due to cost, and avoiding the use of infectious agents or environmentally harmful materials.

The method of imparting pathogen resistance to plants in accordance with the present invention is useful in imparting resistance to a wide variety of pathogens including viruses, bacteria, and fungi. Resistance, inter alia, to the following viruses can be achieved by the method of the present invention: Tobacco mosaic virus and Tomato mosaic virus. Resistance, inter alia, to the following bacteria can also be imparted to plants in accordance with present invention:

Pseudomonas solancearum, Pseudomonas syringae pv. tabaci, and Xanthamonas campestris pv. pelargonii. Plants can be made resistant, inter alia, to the following

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fungi by use of the method of the present invention: Fusarium oxysporum and Phytophthora infestans.

With regard to the use of the hypersensitive response elicitor protein or polypeptide of the present invention to enhance plant growth, various forms of plant growth enhancement or promotion can be achieved. This can occur as early as when plant growth begins from seeds or later in the life of a plant. For example, plant growth according to the present invention encompasses greater yield, increased quantity of seeds produced, increased percentage of seeds germinated, increased plant size, greater biomass, more and bigger fruit, earlier fruit coloration, and earlier fruit and plant maturation. As a result, the present invention provides significant economic benefit to growers. For example, early germination and early maturation permit crops to be grown in areas where short growing seasons would otherwise preclude their growth in that locale. Increased percentage of seed germination results in improved crop stands and more efficient seed use. Greater yield, increased size, and enhanced biomass production allow greater revenue generation from a given plot of land.

Another aspect of the present invention is directed to effecting any form of insect control for plants. For example, insect control according to the present invention encompasses preventing insects from contacting plants to which the hypersensitive response elicitor has been applied, preventing direct insect damage to plants by feeding injury, causing insects to depart from such plants, killing insects proximate to such plants, interfering with insect larval feeding on such plants, preventing insects from colonizing host plants, preventing colonizing insects from releasing phytotoxins, etc. The present invention also prevents subsequent disease damage to plants resulting from insect infection.

The present invention is effective against a wide variety of insects. European corn borer is a major pest of corn (dent and sweet corn) but also feeds on over 200 plant species including green, wax, and lima beans and edible soybeans, peppers, potato, and tomato plus many weed species. Additional insect larval feeding pests which damage a wide variety of vegetable crops include the following: beet armyworm, cabbage looper, corn ear worm, fall armyworm, diamondback moth, cabbage root maggot, onion maggot, seed corn maggot, pickleworm (melonworm), pepper maggot, and tomato pinworm. Collectively, this group of insect pests

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represents the most economically important group of pests for vegetable production worldwide.

The method of the present invention involving application of the hypersensitive response elicitor polypeptide or protein can be carried out through a variety of procedures when all or part of the plant is treated, including leaves, stems. roots, propagules (e.g., cuttings), etc. This may (but need not) involve infiltration of the hypersensitive response elicitor polypeptide or protein into the plant. Suitable application methods include high or low pressure spraying, injection, and leaf abrasion proximate to when elicitor application takes place. When treating plant seeds, in accordance with the application embodiment of the present invention, the hypersensitive response elicitor protein or polypeptide can be applied by low or high pressure spraying, coating, immersion, or injection. Other suitable application procedures can be envisioned by those skilled in the art provided they are able to effect contact of the hypersensitive response elicitor polypeptide or protein with cells of the plant or plant seed. Once treated with the hypersensitive response elicitor of the present invention, the seeds can be planted in natural or artificial soil and cultivated using conventional procedures to produce plants. After plants have been propagated from seeds treated in accordance with the present invention, the plants may be treated with one or more applications of the hypersensitive response elicitor protein or polypeptide to impart disease resistance to plants, to enhance plant growth. and/or to control insects on the plants.

The hypersensitive response elicitor polypeptide or protein can be applied to plants or plant seeds in accordance with the present invention alone or in a mixture with other materials. Alternatively, the hypersensitive response elicitor polypeptide or protein can be applied separately to plants with other materials being applied at different times.

A composition suitable for treating plants or plant seeds in accordance with the application embodiment of the present invention contains a hypersensitive response elicitor polypeptide or protein in a carrier. Suitable carriers include water, aqueous solutions, slurries, or dry powders. In this embodiment, the composition contains greater than 500 nM hypersensitive response elicitor polypeptide or protein.

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Although not required, this composition may contain additional additives including fertilizer, insecticide, fungicide, nematacide, and mixtures thereof. Suitable fertilizers include (NH₄)₂NO₃. An example of a suitable insecticide is Malathion. Useful funcicides include Captan.

Other suitable additives include buffering agents, wetting agents, coating agents, and abrading agents. These materials can be used to facilitate the process of the present invention. In addition, the hypersensitive response elicitor polypeptide or protein can be applied to plant seeds with other conventional seed formulation and treatment materials, including clays and polysaccharides.

In the alternative embodiment of the present invention involving the use of transgenic plants and transgenic seeds, a hypersensitive response elicitor polypeptide or protein need not be applied topically to the plants or seeds. Instead, transgenic plants transformed with a DNA molecule encoding a hypersensitive response elicitor polypeptide or protein are produced according to procedures well known in the art.

The vector described above can be microinjected directly into plant cells by use of micropipettes to transfer mechanically the recombinant DNA.

Crossway, Mol. Gen. Genetics, 202:179-85 (1985), which is hereby incorporated by reference. The genetic material may also be transferred into the plant cell using polyethylene glycol. Krens, et al., Nature, 296:72-74 (1982), which is hereby incorporated by reference.

Another approach to transforming plant cells with a gene which imparts resistance to pathogens is particle bombardment (also known as biolistic transformation) of the host cell. This can be accomplished in one of several ways. The first involves propelling inert or biologically active particles at cells. This technique is disclosed in U.S. Patent Nos. 4,945,050, 5,036,006, and 5,100,792, all to Sanford et al., which are hereby incorporated by reference. Generally, this procedure involves propelling inert or biologically active particles at the cells under conditions effective to penetrate the outer surface of the cell and to be incorporated within the interior thereof. When inert particles are utilized, the vector can be introduced into the cell by coating the particles with the vector containing the heterologous DNA. Alternatively, the target cell can be surrounded by the vector so that the vector is

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carried into the cell by the wake of the particle. Biologically active particles (e.g., dried bacterial cells containing the vector and heterologous DNA) can also be propelled into plant cells.

Yet another method of introduction is fusion of protoplasts with other entities, either minicells, cells, lysosomes or other fusible lipid-surfaced bodies. Fraley, et al., <u>Proc. Natl. Acad. Sci. USA</u>, 79:1859-63 (1982), which is hereby incorporated by reference.

The DNA molecule may also be introduced into the plant cells by electroporation. Fromm et al., Proc. Natl. Acad. Sci. USA, 82:5824 (1985), which is hereby incorporated by reference. In this technique, plant protoplasts are electroporated in the presence of plasmids containing the expression cassette. Electrical impulses of high field strength reversibly permeabilize biomembranes allowing the introduction of the plasmids. Electroporated plant protoplasts reform the cell wall, divide, and regenerate.

Another method of introducing the DNA molecule into plant cells is to infect a plant cell with Agrobacterium tumefaciens or A. rhizogenes previously transformed with the gene. Under appropriate conditions known in the art, the transformed plant cells are grown to form shoots or roots, and develop further into plants. Generally, this procedure involves inoculating the plant tissue with a suspension of bacteria and incubating the tissue for 48 to 72 hours on regeneration medium without antibiotics at 25-28°C.

Agrobacterium is a representative genus of the gram-negative family Rhizobiaceae. Its species are responsible for crown gall (A. tumefaciens) and hairy root disease (A. rhizogenes). The plant cells in crown gall tumors and hairy roots are induced to produce amino acid derivatives known as opines, which are catabolized only by the bacteria. The bacterial genes responsible for expression of opines are a convenient source of control elements for chimeric expression cassettes. In addition, assaying for the presence of opines can be used to identify transformed tissue.

Heterologous genetic sequences can be introduced into appropriate plant cells, by means of the Ti plasmid of A. tunefaciens or the Ri plasmid of A. rhizogenes. The Ti or Ri plasmid is transmitted to plant cells on infection by

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Agrobacterium and is stably integrated into the plant genome. J. Schell, <u>Science</u>, 237:1176-83 (1987), which is hereby incorporated by reference.

After transformation, the transformed plant cells must be regenerated.

Plant regeneration from cultured protoplasts is described in Evans et al., Handbook of Plant Cell Cultures, Vol. 1: (MacMillan Publishing Co., New York, 1983); and Vasil I.R. (ed.), Cell Culture and Somatic Cell Genetics of Plants, Acad. Press, Orlando, Vol. I, 1984, and Vol. III (1986), which are hereby incorporated by reference.

It is known that practically all plants can be regenerated from cultured cells or tissues, including but not limited to, all major species of sugarcane, sugar beets, cotton, fruit trees, and legumes.

Means for regeneration vary from species to species of plants, but generally a suspension of transformed protoplasts or a petri plate containing transformed explants is first provided. Callus tissue is formed and shoots may be induced from callus and subsequently rooted. Alternatively, embryo formation can be induced in the callus tissue. These embryos germinate as natural embryos to form plants. The culture media will generally contain various amino acids and hormones, such as auxin and cytokinins. It is also advantageous to add glutamic acid and proline to the medium, especially for such species as corn and alfalfa. Efficient regeneration will depend on the medium, on the genotype, and on the history of the culture. If these three variables are controlled, then regeneration is usually reproducible and repeatable.

After the expression cassette is stably incorporated in transgenic plants, it can be transferred to other plants by sexual crossing. Any of a number of standard breeding techniques can be used, depending upon the species to be crossed.

Once transgenic plants of this type are produced, the plants themselves can be cultivated in accordance with conventional procedure with the presence of the gene encoding the hypersensitive response elicitor resulting in disease resistance, enhanced plant growth, and/or control of insects on the plant. Alternatively, transgenic seeds are recovered from the transgenic plants. These seeds can then be planted in the soil and cultivated using conventional procedures to produce transgenic plants. The transgenic plants are propagated from the planted transgenic seeds under

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conditions effective to impart disease resistance to plants, to enhance plant growth, and/or to control insects. While not wishing to be bound by theory, such disease resistance, growth enhancement, and/or insect control may be RNA mediated or may result from expression of the elicitor polypeptide or protein.

When transgenic plants and plant seeds are used in accordance with the present invention, they additionally can be treated with the same materials as are used to treat the plants and seeds to which a hypersensitive response elicitor polypeptide or protein is applied. These other materials, including hypersensitive response elicitors, can be applied to the transgenic plants and plant seeds by the above-noted procedures, including high or low pressure spraying, injection, coating, and immersion. Similarly, after plants have been propagated from the transgenic plant seeds, the plants may be treated with one or more applications of the hypersensitive response elicitor to impart disease resistance, enhance growth, and/or control insects. Such plants may also be treated with conventional plant treatment agents (e.g., insecticides, fertilizers, etc.).

Another aspect of the present invention is to utilize the subject elicitor proteins or polypeptides to design molecules that will inactivate, destroy, or bind to these proteins or polypeptides. Since these elicitors are found in plant pathogens, particularly Erwinia amylovora, the pathogens themselves can be neutralized by the designed molecules so that disease and/or hypersensitive response is prevented or altered. Examples of disease preventing molecules are antibodies, such as monoclonal or polyclonal antibodies, raised against the elicitor proteins or polypeptides of the present invention or binding portions thereof. Other examples of disease preventing molecules include antibody fragments, half-antibodies, hybrid derivatives, probes, and other molecular constructs.

Monoclonal antibody production may be effected by techniques which are well-known in the art. Basically, the process involves first obtaining immune cells (lymphocytes) from the spleen of a mammal (e.g., mouse) which has been previously immunized, either in vivo or in vitro, with the antigen of interest (e.g., an elicitor protein or polypeptide of the present invention or binding portions thereof). The antibody-secreting lymphocytes are then fused with (mouse) myeloma cells or transformed cells, which are capable of replicating indefinitely in cell culture, thereby producing an immortal, immunoglobulin-secreting cell line. The resulting fused cells,

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or hybridomas, are cultured, and the resulting colonies screened for the production of the desired monoclonal antibodies. Colonies producing such antibodies are cloned, and grown either *in vivo* or *in vitro* to produce large quantities of antibody. A description of the theoretical basis and practical methodology of fusing such cells is set forth in Kohler and Milstein, <u>Nature</u> 256:495 (1975), which is hereby incorporated by reference.

Mammalian lymphocytes are immunized by in vivo immunization of the animal (e.g., a mouse) with the elicitor proteins or polypeptides of the present invention or binding portions thereof. Such immunizations are repeated as necessary at intervals of up to several weeks to obtain a sufficient titer of antibodies. Following the last antigen boost, the animals are sacrificed and spleen cells removed.

Fusion with mammalian myeloma cells or other fusion partners capable of replicating indefinitely in cell culture is effected by standard and well-known techniques, for example, by using polyethylene glycol ("PEG") or other fusing agents (See Milstein and Kohler, Eur. J. Immunol. 6:511 (1976), which is hereby incorporated by reference). This immortal cell line, which is preferably murine, but may also be derived from cells of other mammalian species, including but not limited to rats, is selected to be deficient in enzymes necessary for the utilization of certain nutrients, to be capable of rapid growth, and to have good fusion capability. Many such cell lines are known to those skilled in the art, and others are regularly described.

Procedures for raising polyclonal antibodies are also well known. Typically, such antibodies can be raised by administering the elicitor proteins or polypeptides of the present invention or binding portions thereof subcutaneously to New Zealand white rabbits which have first been bled to obtain pre-immune serum. The antigens can be injected at a total volume of 100 µl per site at six different sites. Each injected material will contain synthetic surfactant adjuvant pluronic polyols, or pulverized acrylamide gel containing the protein or polypeptide after SDS-polyacrylamide gel electrophoresis. The rabbits are then bled two weeks after the first injection and periodically boosted with the same antigen three times every six weeks. A sample of serum is then collected 10 days after each boost. Polyclonal antibodies are then recovered from the serum by affinity chromatography using the

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corresponding antigen to capture the antibody. Ultimately, the rabbits are euthenized with pentobarbital 150 mg/Kg IV. This and other procedures for raising polyclonal antibodies are disclosed in E. Harlow, et. al., editors, <u>Antibodies: A Laboratory Manual</u> (1988), which is hereby incorporated by reference.

In addition to utilizing whole antibodies, the processes of the present invention encompass use of binding portions of such antibodies. Such binding portions include Fab fragments, F(ab')₂ fragments, and Fv fragments. These antibody fragments can be made by conventional procedures, such as proteolytic fragmentation procedures, as described in J. Goding, Monoclonal Antibodies: Principles and Practice, pp. 98-118 (N.Y. Academic Press 1983), which is hereby incorporated by reference.

Alternatively, the processes of the present invention can utilize probes or ligands found either in nature or prepared synthetically by recombinant DNA procedures or other biological or molecular procedures. Suitable probes or ligands are molecules which bind to the elicitor proteins or polypeptides of the present invention or binding portions thereof.

Avirulence (avr) genes (see Vivian, A., et al, Microbiology, 143:693-704 (1997); Leach, J. E., et al., Annu. Rev. Phytopathol., 34:153-179 (1996); Dangl. J. L. "Bacterial Pathogenesis of Plants and Animals: Molecular and Cellular Mechanisms," in Current Topics in Microbiology and Immunology, Dangl. J. L., ed. (Springer, Berlin), Vol. 192, pp. 99-118 (1994), which are hereby incorporated by reference) generate signals that trigger defense responses leading to disease resistance in plants with corresponding resistance (R) genes. Typically, avr genes are isolated by expressing a cosmid library from one pathogen in another pathogen and screening for narrowed host range. avr genes traditionally have been considered as negative determinants of host specificity at the race-cultivar level, but some, including the avrE locus from the bacterial speck pathogen Pseudomonas syringae pathovar (pv.) tomato (Kobayashi, D. Y., et al., Proc. Natl. Acad. Sci. USA, 86:157-61 (1989), which is hereby incorporated by reference), restrict host range at the pathovar-species or species-species level (Whalen, M. C., et al., Proc. Natl. Acad. Sci. USA, 85:6743-47 (1988); Swarup, S., et al., Mol. Plant-Microbe Interact., 5:204-13 (1992), which are hereby incorporated by reference). Many avr genes, including avrE, are Hrp

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regulated. avrE and avrPphE (Mansfield, J., et al., Mol. Plant-Microbe Interact., 7:726-39 (1994), which is hereby incorporated by reference) are physically linked to hrp genes.

When expressed *in trans*, the *awrE* locus renderes *P. syringae* pv. glycinea, which causes bacterial blight of soybean, avirulent in all cultivars (Lorang, J. M., et al., <u>Mol. Plant-Microbe Interact.</u>, 8:49-57 (1995), which is hereby incorporated by reference). The locus comprises two convergent transcription units, one preceded by a putative σ⁵⁴ promoter and the other by a *hrp* box, a sequence found upstream of many *hrp* and *avr* genes that are positively regulated by the alternate sigma factor HrpL (Innes, R. W., et al., <u>J. Bacteriol.</u>, 175:4859-69 (1993); Shen, H., et al., <u>J. Bacteriol.</u>, 175:5916-24 (1993); Xiao, Y., et al., <u>J. Bacteriol.</u>, 176:3089-91 (1994), which are hereby incorporated by reference). Expression of both transcripts require HrpL. The *avrE* locus contributes quantitatively to the virulence in tomato leaves of *P. syringae* pv. tomato strain PT23, but not of strain DC3000 (Lorang, J. M., et al., <u>Mol. Plant-Microbe Interact.</u>, 8:49-57 (1995); Lorang, J. M., et al., <u>Mol. Plant-Microbe Interact.</u>, 7:508-515 (1994)).

Thus, avr genes in plant pathogens bind to disease resistance genes in plants which are not susceptible to that pathogen. In view of the homology of the DNA molecules of the present invention to avr genes in plant pathogens, these DNA molecules can be used to identify corresponding plant disease resistance genes. Such identification is carried out by traditional plant breeding techniques in which a pathogen carrying the avr gene is inoculated to plants in screening to track inheritance or identify disruption of the resistance. Once identified, the resistance gene can be isolated by either of two approaches that have proved successful in recent years (see Staskawicz et al., Science, 68:661-67 (1995)). These are positional or map-based cloning and insertional mutagenesis or transposon tagging. Because there may be no DspE-insensitive cultivars (susceptible to Pseudomonas harboring dspE; each of four soybean cultivars tested responded to dspE), map-based cloning (which requires crosses between susceptible and resistant lines to identify the position of the resistance gene relative to other genes) may not be feasible. The preferred approach would more likely involve insertional mutagenesis, using the dspE gene or protein in

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screens to identify lines which had lost the the product of dspE due to transposon tagging of the corresponding resistance gene.

EXAMPLES

Example 1 - Recombinant DNA techniques.

Isolation of DNA, restriction enzyme digests, ligation, transformation of Escherichia coli, and construction of and colony hybridization to screen a P. syringae pv. tomato DC3000 genomic library were performed as described by Sambrook, et al. (Sambrook, J., et al., Molecular cloning: A Laboratory manual. (Cold Spring Harbor Laboratory, Cold Spring Harbor, NY) (1989), which is hereby incorporated by reference). The library was constructed using pCPP47 (Bauer, D. W., et al., Mol. Plant-Microbe Interact., 10:369-379 (1997), which is hereby incorporated by reference). Except where noted, E. coli DH5 and E. coli DH5α were used as hosts for DNA clones, and pBluescript or pBC plasmids (Stratagene, La Jolla, CA) were used as vectors. E. amylovora was transformed by electroporation as described (Bauer, D. W. in "Molecular Genetics of Pathogenicity of Erwinia amylovora: Techniques, Tools and Their Applications", (Ph. D. Thesis), Cornell University, Ithaca, NY (1990), which is hereby incorporated by reference). Plasmids were mobilized into E. amylovora and P. syringae using pRK2013 (Figurski, D., et al., Proc. Natl. Acad. Sci. USA 76:1648-1652 (1979), which is hereby incorporated by reference).

Example 2 - Nucleotide sequencing and analysis.

The nucleotide sequence of the dsp region of *E. amylovora* strain Ea321 was determined using sublcones of pCPP430 (Beer, S. V., et al., in <u>Advances in Molecular Genetics of Plant-Microbe Interactions</u>, Hennecke, H., et al., eds. (Kluwer Academic Publishers, Dordrecht, The Netherlands), pp. 53-60 (1991), which is hereby incorporated by reference). The nucleotide sequence of the *avrE* locus was determined using subclones of pCPP2357, a clone selected from a *P. syringae* pv. tomato DC3000 genomic cosmid library based on hybridization with the *hrpRS* operon of *P. syringae* pv. syringae, and the finding, based on partial sequencing, that it contained the *avrE* locus. Nucleotide sequencing was performed by the Cornell

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Biotechnology Sequencing Facility on a Model 377 Sequencer (Perkin Elmer/Applied Biosystems Division, Foster City, CA). Sequence assembly, analysis, and comparisons were performed using the programs of the GCG software package, version 7.1 (Genetics Computer Groups, Inc., Madison, WI) and DNASTAR (DNASTAR, Inc., Madison, WI). Database searches were performed using BLAST (Altschul, S. F., et al., <u>Proc. Nat. Acad. Sci. USA</u>, 87:5509-5513 (1990) which is hereby incorporated by reference).

Example 3 - Expression of DspE and DspE' in E. coli.

The dspE operon was cloned in two pieces into pCPP50, a derivative of PINIII¹¹³-A2 (Duffaud, G. D., et al. in <u>Methods in Enzymology</u>, Wu, R., et al., eds. (Academic Press, New York), 153:492-50 (1987), which is hereby incorporated by reference) with an expanded polylinker, yielding pCPP1259. Expression in pCPP1259 is driven by the *Ipp* promoter of *E. coli*, under the control of the *lac* operator. An intermediate clone, pCPP1244, extending from the start of the operon to the *Bam*HI site in the middle of *dspE*, also was isolated. *E. coli* DH5α strains containing pCPP1259 and pCPP1244 were grown in LB at 37°C to an OD₆₂₀ of 0.3. Isopropylthio-β-D-galactoside then was added to 1 mM, and the cells further incubated until reaching an OD₆₂₀ of 0.5. Cells were concentrated two-fold, lysed and subjected to SDS-PAGE as previously described (Sambrook, J., et al., <u>Molecular cloning</u>: A <u>Laboratory Manual</u> (Cold Spring Harbor Laboratory, Cold Spring Harbor, NY) (1989), which is hereby incorporated by reference), using 7.5% acrylamide. Cells containing pCPP50 were included for comparison. Proteins were visualized by Coomassie staining.

Example 4 - Deletion mutagenesis of dspE.

1554 bp were deleted from the 5' Hindlll-BamHI fragment of dspE in pCPP1237 using unique Stul and Smal sites. The mutagenized clone then was inserted into the suicide vector pKNG101 (Kaniga, K., et al., Gene, 109:137-42
30 (1991), which is hereby incorporated by reference) using E. coli SM10\(\lambda\)pir as a host, yielding pCPP1241. The mutation, designated \(\Delta\)1554, then was transferred into E. amylovora strains using marker eviction as described previously (Bogdanove, A. J.,

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et al., <u>J. Bacteriol.</u>, 178:1720-30 (1996), which is hereby incorporated by reference). 1521 bp were deleted from the 3' *HindIll* fragment of *dspE* in pCPP1246 using two *BstEII* sites blunted with Klenow fragment. This mutation, Δ1521, was transferred into *E. amylovora* strains as above.

Example 5 - Pathogenicity assays.

For *E. amylovora* strains, cell suspensions of 5 x 10⁸ colony-forming units (cfu) per ml were pipetted into wells cut in immature Bartlett pear fruit, or stabbed into Jonamac apple and cotoneaster shoots, and assays carried out as described previously (Beer, S. V., in Methods in Phytobacteriology, Klement, Z., et al., eds. (Adadémiai Kiadoó, Budapest), pp. 373-374 (the "1990); Aldwinckle, H. S., et al., Phytopathology, 66:1439-44 (1976), which are hereby incorporated by reference). For *P. syringage* pv. glycinea, panels of primary leaves of 2-week-old soybean seedlings (*Glycine max*, cultivar Norchief) were infiltrated with bacterial suspensions of 8 x 10⁵ cfu/ml as for the HR assay, below. Plants were then covered with clear plastic bags and incubated under fluorescent lights (16 hr/day) at 22°C for 5-7 days. Leaves were scored for necrosis and chlorosis.

Example 6 - HR assays.

Tobacco leaf panels (*Nicotiana tabacum* L. 'xanthi') were infiltrated with bacterial cell suspensions as described previously (Wei, Z. M., et al., <u>Science</u>, 257:85-88 (1992); Bauer, D. W., et al., <u>Mol. Plant-Microbe Interact.</u>, 4:493-99 (1991), which are hereby incorporated by reference). Primary leaves of 2-week-old soybean seedlings (secondary leaves emerging) were infiltrated with bacterial cell suspensions as for tobacco. Plants were scored for HR (tissue collapse) after 24-48 hr on the laboratory bench. *E. amylovora* strains were suspended in 5 mM KPO₄ buffer, pH 6.8, and *P. syringae* strains in 10 mM MgCl₂.

Example 7 - GUS assays.

Cells were 1.) grown in LB to an OD₆₂₀ of 0.9-1.0; 2.) grown in LB to an OD₆₂₀ of 0.5, then washed and resuspended in a *hrp*-gene-inducing minimal medium (Hrp MM; Huynh, T. V., et al., <u>Science</u>, 345:1374-77 (1989), which is

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hereby incorporated by reference) to an OD620 of 0.2 and incubated at 21° C for 36 hr to a final OD620 of 0.9-1.0; or 3.) grown in LB to an OD620 of 0.5, washed and concentrated 2-fold in 5 mM KPO₄ buffer, pH 6.8, and then transferred to freshly cut wells in pear halves and incubated as for the pathogenicity assay for 36 hr. Cells were assayed for β-glucuronidase (GUS) activity essentially according to Jefferson (Jefferson, R. A., Plant Molecular Biology Reporter, 5:387-405 (1987), which is hereby incorporated by reference). For the cells in LB or Hrp MM, 50 ul were mixed with 200 µl GUS extraction buffer (50 mM NaHPO4, pH 7.0, 10 mM β-mercaptoethanol, 10 mM Na₂EDTA, 0.1% sodium lauryl sarcosine, 0.1% Triton X-100) containing 2 mM 4-methylumbelliferyl β-D-glucuronide as substrate and incubated at 37° C for 100 min. For cells in pear fruit, the tissue surrounding the well was excised using a #4 cork borer and homogenized in 5 mM KPO₄ buffer, pH 6.8. 200 µl of homogenate was mixed with 800 µl of GUS extraction buffer with substrate and incubated as above. Reactions were stopped by adding Na₂CO₃ to a final concentration of 0.2 M in a total volume of 2 ml. Fluorescence was measured using a TKO 100 Mini-Fluorometer (Hoefer Scientific Instruments, San Francisco, CA). For all samples, cell concentration was estimated by dilution plating, and fluorometric readings were converted to pmole of substrate hydrolyzed/108 cfu/min, after Miller (Miller, J. H., A Short Course in Bacterial Genetics: A Laboratory Manual and Handbook for Escherichia coli and Related Bacteria (Cold Spring Harbor Laboratory Press, Plainview, NY) (1992), which is hereby incorporated by reference).

<u>Example 8</u> - The "disease-specific" (dsp) region of *E. amylovora* consists of a 6.6 kb, two-gene operon.

Mapping of previous transposon insertions (Steinberger, E. M., et al., Mol. Plant-Microbe Interact., 1:135-44 (1988), which is hereby incorporated by reference) that abolish pathogenicity but not HR-eliciting ability confirmed the presence of the "disease specific" (dsp) region downstream of the hrpN gene in strain Ea321 as reported in strain CFBP1430 (Barny, A. M., et al., Mol. Microbiol., 4:777-86 (1990), which is hereby incorporated by referece). The sequence of approximately 15 kb of DNA downstream of hrpN from Ea321 was determined, revealing several open reading frames (ORFs' Fig. 1). One ORF, in an apparent 6.6 kb operon with a

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smaller ORF, spanned the area to which the dsp insertions mapped. These two ORFs were designated dspE and dspF, and the operon, dspE. dspE is preceded (beginning 70 bp upstream of the initiation codon) by the sequence GGAACCN₁₅CAACATAA (SEQ. ID. No. 5), which matches the HrpL-dependent promoter consensus sequence or "hrpbox" of E. amylovora (Kim, J. H., et al., J. Bacteriol., 179:1690-97 (1997); Kim, J. H., et al., J. Bacteriol., 179:1690-97 (1997), which are hereby incorporated by reference) and strongly resembles the hrp box of P. syringae hrp and avr genes (Xiao, Y., et al., J. Bacteriol., 176:3089-91 (1994), which is hereby incorporated by reference). Immediately downstream of dspF is A/T-rich DNA, followed by an ORF (ORF7) highly similar to the Salmonella typhimurium gene spvR, a member of the lysR family of regulatory genes (Caldwell, A. L. & Gulig, P. A., J. Bacteriol. 173:7176-85 (1991), which is hereby incorporated by reference). Immediately upstream of the dspE operon is a Hrp-regulated gene, hrpW, encoding a novel harpin.

The deduced product of dspE contains 1838 amino acid residues and is hydrophilic. The predicted molecular weight, 198 kD, was confirmed by expression in E. coli (Fig. 2). Expression of an intermediate clone containing only the 5' half of dspE yielded a protein of corresponding predicted mobility, suggesting that the N-terminal half of the protein might form an independently stable domain. DspF, predicted to be 16 kD, acidic (pl, 4.45), and predominantly α -helical, with amphipathic α helices in its C-terminus, is physically similar to virulence factor chaperones of animal-pathogenic bacteria (Wattiau, P., et al., Mol. Microbiol., 20:255-62 (1996), which is hereby incorporated by reference).

Example 9 - dspE is required for fire blight.

Two in-frame deletions within dspE (Fig. 1) were made in Ea321 and Ea273 (low- and high-virulence strains, respectively). The first ($\Delta 1554$) corresponds to amino acid residues G_{203} to G_{720} , and the second ($\Delta 1521$) to amino acid residues T_{1064} to V_{1570} . Each deletion abolished the ability of both strains to cause fire blight when inoculated to immature pear fruit (Fig. 3), apple shoots, or cotoneaster shoots. $\Delta 1554$ was complemented by a clone carrying only the overlapping 5' half of dspE, further suggesting that the N-terminus of the protein forms a stable domain (Figs. 1 and 3).

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Example 10 - The dspE operon contributes quantitatively and in a strain-dependent fashion to HR elicitation by E. amylovora in tobacco and is not required for HR elicitation by E. amylovora in soybean.

Transposon insertions in the dsp region reduce the ability of E. amylovora to elicit the HR in tobacco (Barny, A. M., et al., Mol. Microbiol., 4:777-86 (1990), which is hereby incorporated by reference). Dilution series of suspensions of $dspE\Delta1554$ mutant strains of Ea321 and Ea273 were infiltrated into tobacco leaves alongside their wild-type parents to assess the role of dspE in HR elicitation (Fig. 3). All strains were capable of eliciting the HR, but Ea321 $dspE\Delta1554$, on a per-cell basis, was roughly one-tenth as effective as the wild-type in eliciting tissue collapse. There was no noticeable difference in HR-eliciting activity, however, between Ea273 and Ea273 $dspE\Delta1554$. Ea321 $dspE\Delta1554$ elicited wild-type HR in Acme, Centennial, Harasoy, and Norchief soybean leaves (Fig. 3).

Example 11 - The dspE operon is Hrp-regulated.

A promoterless uidA gene construct was cloned downstream of the dspE fragment in pCPP1241 that was used to introduce the $\Delta 1554$ mutation (Fig. 1) into wild-type strains of E. amylovora (this construct consists of a 3'-truncated dspE gene with the internal deletion). The resulting plasmid, pCPP1263, was mobilized into Ea321 and Ea273. Pathogenic strains, in which plasmid integration had preserved an intact copy of dspE, and non-pathogenic strains, in which the native copy of dspE had been mutated, were isolated. All strains were assayed for GUS activity in Luria Bertani medium (LB) and in Hrp MM, and pathogenic strains were assayed for activity in pear fruit. High levels of activity were obtained from strains incubated in Hrp MM and pear, but not LB. The level of expression in Hrp MM was equivalent to that of a hrcV-uidA fusion ("G73", Wei, et al., L, Bacteriol., 177:6201-10 (1995), which is hereby incorporated by reference) used as a positive control. There were no significant differences in levels of expression of the dspE-uidA fusion in the wild-type and dspE mutant backgrounds, indicating that dspE likely is not autoregulated. Expression of the dspE-uidA fusion in hrpL mutants of Ea321 and

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Ea273 in hrp MM was two orders of magnitude lower than that in HrpL + strains. Data for Ea273 and derivatives are shown in Fig. 4.

<u>Example 12</u> - dspE and dspF are homologous with genes in the avrE locus of Pseudomonas syringage pv. tomato.

A BLAST (Altschul, S. F., et al., J. Mol. Biol., 215:403-10 (1990), which is hereby incorporated by reference) search of the genetic databases revealed similarity of dspE to a partial sequence of the avrE locus of P. syringae pv. tomato (Lorang, J. M., et al., Mol. Plant-Microbe Interact, 8:49-57 (1995), which is hereby incorporated by reference). A cosmid library of P. syringae pv. tomato DC3000 genomic DNA was constructed, and a clone overlapping the hrp gene cluster and containing the avrE locus was isolated (pCPP2357). The complete nucleotide sequence of the avrE locus was determined, revealing the homolog of dspE (encoding a 195 kD, 1795 amino acid protein of 30% identity) alone in an operon previously designated transcription unit III, and a homolog of dspF (encoding a 14 kD, a 129 amino acid protein of 43% identity) at the end of the juxtaposed and opposing operon previously designated transcription unit IV (Fig. 1). These genes are designated avrE and avrF. The C-terminal half of the DspE and AvrE alignment (from V845 of DspE) shows greater conservation (33% identity) than the N-terminal half (26% identity). AvrE contains a motif (aa residues A₄₅₀ to T₄₅₇) conserved in ATP- or GTP-binding proteins ("P-loop"; Saraste, M., et al., Trends Biochem. Sci., 15:430-34 (1990), which is hereby incorporated by reference). This motif is not conserved in DspE, however, and its functional significance in AvrE, if any, is unclear. Amino acid identities are distributed equally throughout the DspF and AvrF alignment, and AvrF shares the predicted physical characteristics of DspF. Upstream of avrF, competing the operon, is a 2.5 kb gene with no similarity to sequences in the genetic databases.

Example 13 - The dspE operon functions as an avirulence locus.

The dspE operon was cloned into pML 122 (Labes, M., et al., Gene,

89:37-46 (1990), which is hereby incorporated by reference) downstream of the nptll

promoter, and this construct, pCPP1250, was mobilized into P. syringae pv. glycinea

race 4. The resulting strain, but not a control strain containing pML 122, elicited the

HR in soybean cultivars Acme, Centennial, Harasoy, and Norchief; in Norchief plants

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incubated under conducive conditions, race 4 harboring pCPP1250 failed to cause symptoms of disease, while the control strain caused necrosis and chlorosis that spread from the point of inoculation (Fig. 5).

5 Example 14 - avrE complements dspE mutations.

Cosmid pCPP2357 was mobilized into Ea321 dspE mutant strains $\Delta 1554$ and $\Delta 1521$. The resulting transconjugants were pathogenic but low in virulence. Ea321 $dspE\Delta 1521$ carrying pCPP2357 with a transposon insertion in the avrE gene was non-pathogenic, demonstrating that the complementation observed was avrE-specific (Figs. 1 and 5). The same results were observed for transconjugants of the Ea273 $dspE\Delta 1521$ mutant.

Over thirty bacterial avr genes have been discovered. The plethora of avr genes is thought to result from an "evolutionary tug-of-war" (Dangl, J. L., in Bacterial Pathogenesis of Plants and Animals: Molecular and Cellular Mechanisms (Current Topics in Microbiology and Immunology), Dangl. J. L., ed. (Springer, Berlin), 192:99-118 (1994), which is hereby incorporated by reference), a reiterative process of selection, counterselection due to R genes, and modification or substitution of avr genes that was originally discerned by Flor, who hypothesized that "during their parallel evolution host and parasite developed complementary genic systems" (Flor, H. H., Adv. Genet., 8:29-54 (1956), which is hereby incorporated by reference). However, only a few avr genes (including avrE in strain PT23) play detectable roles in virulence or pathogen fitness in their native genetic background (Lorang, J. M., et al., Mol. Plant-Microbe Interact., 7:508-15 (1994); Kearney, B., et al., Nature, 346;385-86 (1990); Swarup, S., et al., Phytopathology, 81:802-808 (1991); De Feyter, R. D., et al., Mol. Plant-Microbe Interact., 6:225-37 (1993); Ritter, C., et al., Mol. Plant-Microbe Interact., 8:444-53 (1995), which are hereby incorporated by reference), and the selective force driving the maintenance in pathogen genomes of many of these host-range-limiting factors has remained a mystery. It is now clear. though, that several Avr proteins are delivered into plant cells by the Hrp pathway (Gopalan, S., et al., Plant Celli, 8:1095-1105 (1996); Tang, X., et al., Science, 274:2060-63 (1996); Scofield, S. R., et al., Science, 274:2063-65 (1996); Leister, R. T., et al., Proc. Natl. Acad. Sci. USA, 93:15497-15502 (1996); Van Den Ackerveken,

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G., et al., Cell, 87:1307-16 (1996), which are hereby incorporated by reference) and, therefore, are likely to be fundamentally virulence factors, which interact (directly, or indirectly through enzymatic products) with host targets to promote parasitism. Mutation of such targets (selected because of reduced susceptibility) as well as the evolution of R proteins that recognize the Avr proteins would force the acquisition or evolution of new or modified Avr proteins and result in the proliferation of avr genes. Cumulatively, these co-evolutionary processes likely would drive a trend toward avr genes with quantitative and redundant effects in pathogenesis rather than critically important roles (Alfano, J. R., et al., Plant Cell, 8:1683-16988 (1996), which is hereby incorporated by reference).

It has been found that the homologs dspE and avrE contribute to disease to dramatically different extents. The avirulence locus can substitute transgenerically for the pathogenicity operon, and that the avirulence function of dspE extends across pathogen genera as well. These findings support the hypothesis that avr genes have a primary function in disease. Moreover, they support and expand the coevolutionary model for avr gene proliferation discussed above, and they have practical implications concerning the control of fire blight and other bacterial diseases of perennials.

One can predict from the model that the relative contribution to

20 pathogenicity of a particular factor would reflect, in part, the genetic history of the
pathogen, specifically, the degree of co-evolution with its host(s). dspE is required
for pathogenicity; avrE has a quantitative, strain-dependent, virulence phenotype.

Consistent with the prediction, evolution of corresponding R genes and modification
of targets of pathogen virulence factors is likely to have occurred more often and to a

25 greater extent over time in the herbaceous hosts typically infects by P. syringae
pathovars than in the woody hosts with which E. amylovora presumably evolved.

Alternatively or additionally, acquisition of dspE (through evolution or horizontal
transfer) by E. amylovora could have occurred relatively more recently than
acquisition of avrE by P. syringae, allowing less time for coevolution leading to
modification or the development of redundant function.

One could also hypothesize from the model that virulence factors may be conserved among pathogens, yet individually adapted to avoid detection on a

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particular host. Preliminary results from Southern blot hybridizations suggest that *P. syringae* pv. glycinea harbors an *avrE* homolog, which, if functional, would support such a hypothesis. Similarly, homologs of the soybean cultivar-specific genes *avrA* and *avrD* from *P. syringae* pv. tomato exist in *P. syringae* pv. glycinea (Kobayashi, D. Y., et al., <u>Proc. Natl. Acad. Sci. USA</u>, 86:157-161 (1989), which is hereby incorporated by reference).

The homology and abilities of dspE and avrE to function transgenerically expand the model for avr gene proliferation. Major components of an evolution toward multifactor virulence could be procurement of genes encoding novel virulence factors from heterologus pathogens, and conservation of a functionally cosmopolitan virulence factor delivery system (and possibly conservation of a universal Hrp-pathway-targeting signal on the factors themselves) that would enable their deployment. Indeed, many avr genes are on plasmids and scattered in their distribution among pathogen strains (Dangl, J. L., in Bacterial Pathogenesis of Plants and Animals: Molecular and Cellular Mechanisms (Current Topics in Microbiology and Immunology), Dangl. J. L., ed. (Springer, Berlin), 192:99-118 (1994), which is hereby incorporated by reference), and individual hrp genes are conserved and even interchangeable (Arlat, M., et al., Mol. Plant-Microbe Interact., 4:593-601 (1991); Laby, R. J., et al., Mol. Plant-Microbe Interact., 5:412-19 (1992), which is hereby incorporated by reference). The presence of dspE and avrE in distinct genera suggests horizontal transfer of an ancestral locus, and, although dsnE and avrE are homologous and hrp-linked, the transgeneric function of these genes suggests that the Hrp pathways in E. amylovora and P. syringae have remained insensitive to differences accrued in DspE and AvrE over evolution. It is predicted that even non-homologous Avr-like proteins will function across phytopathogenic bacterial genera.

It remains to be shown whether the avirulence function of the dspE locus is Hrp-pathway-dependent. This seems likely, and it will be important to determine the localization of the dspE and dspF gene products in the plant-bacterial interaction. The physical similarity of DspF (and AvrF) to chaperones required for type III secretion of virulence factors from animal-pathogenic bacteria (Wattiau, P., et al., Mol. Microbiol., 20:255-62 (1996), which is hereby incorporated by reference) is

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intriguing and novel in phytopathogenic bacteria. The requirement of these chaperones appears to be due to a role other than targeting to the secretion pathway (Woestyn, S., et al., Mol. Microbiol., 20:1261-71 (1996), which is hereby incorporated by reference): chaperones may stabilize proteins, maintain proteins in an appropriate conformation for secretion, or prevent premature polymerization or association with other proteins. Perhaps, DspF binds to DspE (and AvrF to AvrE) and plays a similar role, which might be particularly important for the latter protein due to its large size and probable multidomain nature.

The dspE operon is the first described avirulence locus in
E. amylovora. A homolog of avrRxv from Xanthomonas campestris (Whalen, M. C., et al., Proc. Natl. Acad. Sci. USA, 85:6743-47 (1988), which is hereby incorporated by reference) has been found near the dspE operon (Kim, J. F., in Molecular Characterization of a Novel Harpin and Two hrp Secretory Operons of Erwinia amylovora, and a hrp Operon of E. chrysanthemi (Ph.D. Thesis), Cornell University, Ithaca, NY (1997)). Monogenic (R-gene-mediated) resistance to fire blight has not been reported, but differential virulence of E. amyolovora strains on apple cultivars has been observed (Norelli, J. L., et al., Phytopathology, 74:136-39 (1984), which is hereby incorporated by reference). Also, some strains of E. amylovora infect Rubus spp. and not pomaceous plants, and vice-versa (Starr, M. P., et al., Phytopathology, 41:915-19 (1951), which is hereby incorporated by reference). Whether the dspE operon and the avrRxv homolog or other potential elicitors play a role in these specificities should be determined.

Although the dspE operon triggers defense responses in soybean when expressed in P. syringae pv. glycinea, it is not required for the HR of soybean elicited by E. amylovora. Neither is hrpN required (Fig. 3). It is possible that E. amylovora must have one or the other, dspE or hrpN, to elicit the HR in soybean. It has been observed, however, that purified harpin does not elicit the HR in soybean, suggesting the alternative explanation that E. amylovora harbors another avr gene recognized by this plant.

Recognition of E. amylovora avirulence signals in soybean indicates the presence of one or more R genes that might be useful for engineering fire blight resistant apple and pear trees. R-gene-mediated resistance to the apple scab pathogen

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Venturia inaequalis (Williams, E. B., et al., Ann. Rev. Phytopathol., 7:223-46 (1969), which is hereby incorporated by reference) and successful transformation of apple with attacin E for control of fire blight (Norelli, J. L., et al., Euphytica, 77:123-28 (1994), which is hereby incorporated by reference) attest the feasibility of such an approach. R gene-mediated resistance to apple scab has been overcome in the field (Parisi, L., et al., Phytopathology, 83:533-37 (1993), which is hereby incorporated by reference), but the requirement for dspE in disease favors relative durability of a dspE-specific R gene (Kearney, B. et al., Nature, 346:385-86 (1990), which is hereby incorporated by reference). Avirulence screening of dspE and other E. amylovora genes in pathogens of genetically tractable plants such as Arabidopsis could broaden the pool of candidate R genes and hasten their isolation. A similar approach could be used to isolate R genes effective against other diseases of woody plants. Furthermore, if the dspE operon is as widely conserved as is suggested by its homology with the avrE locus, a corresponding R gene could be effective against a variety of pathogens both of woody and herbaceous plants.

Native (non-denatured) DspE protein has not been produced in sufficient quantity to test its ability to elicit the HR (i.e. hypersensitive response) in a manner similar to hypersensitive response elicitors (i.e., by exogenous application). Therefore, no one has shown that dspE of E. amylovora elicits the HR when applied to plants as an isolated cell-free material. However, when the gene encoding the protein is transferred to another bacterium (along with the smaller dspF gene), e.g., $Pseudomonas\ syringae$, which ordinarily causes disease on certain plants, the recipient bacterium no longer causes disease but instead elicits the HR. The mechanism for this is not known for sure, but it is suspected to involve (and there is compelling evidence for) a mechanism in which the bacterial cell actually injects the DspE protein into the living plant cell, triggering the development of plant cell collapse (i.e. HR). Presumably, when the DspE protein is in the living plant cell, it might signal the plant to develop resistance to insects and pathogens.

Based on the similarity of the predicted physical characteristics of

DspF to those of known chaperone proteins from animal pathogens, it is believed that
this rather small protein is a chaperone of DspE. Chaperones in animal pathogens
bind in the cytoplasm to specific proteins to be secreted. They seem to be required for

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secretion of the proteins but are not themselves secreted. Evidence suggests that the chaperones are not involved directly in targeting the secreted proteins to the secretion apparatus. Instead, they may act to stabilize the proteins in the cytoplasm and/or prevent their premature aggregation or association with other proteins (e.g., bacterial proteins that direct transport through the host cell-membrane).

The dspE gene bears no similarity to known genes except avrE. Enzymatic function (i.e., one resulting in the production of a secondary molecule that elicits the HR) of DspE cannot be ruled out at present. In fact, one avr gene product is known to elicit HR indirectly by catalyzing synthesis of a diffusible elicitor molecule. However, the simplest explanation for the observed HR eliciting function of the dspE operon expressed in Pseudomonas species is that the protein encoded by the dspE gene is secreted from the bacterium and possibly transported into the plant cell, that there it triggers directly plant defense responses leading to the HR, and that this process is mediated by a specific resistance gene product that recognizes (acts as a receptor of) the DspE protein. Indeed, four avr genes that depend on the Hrp secretory apparatus to function when expressed in bacteria have been shown to cause HR when expressed transgenically within plant cells. One of these has been shown to encode a protein that directly interacts with the product of its corresponding resistance gene. Ultimately, whether DspE elicits plant defense responses from outside or inside the plant cell, directly or through a secondary molecule, must be determined in order to define practical applications of this protein and its encoding gene as a plant defense elicitor.

Although the invention has been described in detail for the purpose of illustration, it is understood that such detail is solely for that purpose, and variations can be made therein by those skilled in the art without departing from the spirit and scope of the invention which is defined by the following claims.

SEQUENCE LISTING

- (1) GENERAL INFORMATION:
 - (i) APPLICANT: Bogdanove, Adam J. Kim, Jihyun Francis Wei, Zhong-Min Beer, Steven V.
 - (ii) TITLE OF INVENTION: HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE
 - (iii) NUMBER OF SEQUENCES: 5
 - (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: Nixon, Hargrave, Devans & Doyle LLP
 - (B) STREET: P.O. Box 1051, Clinton Square
 - (C) CITY: Rochester
 - (D) STATE: New York
 - (E) COUNTRY: U.S.A.
 - (F) ZIP: 14603
 - (v) COMPUTER READABLE FORM:
 - (A) MEDIUM TYPE: Floppy disk
 - (B) COMPUTER: IBM PC compatible
 - (C) OPERATING SYSTEM: PC-DOS/MS-DOS
 - (D) SOFTWARE: PatentIn Release #1.0, Version #1.30
 - (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER:
 - (B) FILING DATE:
 - (C) CLASSIFICATION:
 - (vii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER: US 60/055,105
 - (B) FILING DATE: 06-AUG-1997
 - (viii) ATTORNEY/AGENT INFORMATION:
 - (A) NAME: Goldman, Michael L.
 - (B) REGISTRATION NUMBER: 30,727
 - (C) REFERENCE/DOCKET NUMBER: 19603/1661
 - (ix) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: (716) 263-1304
 - (B) TELEFAX: (716) 263-1600
- (2) INFORMATION FOR SEQ ID NO:1:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 5517 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEO ID NO:1:

ATGGAATTAA AATCACTGGG AACTGAACAC AAGGCGGCAG TACACACAGC GGCGCACAAC 60 CCTGTGGGGC ATGGTGTTGC CTTACAGCAG GGCAGCAGCA GCAGCAGCCC GCAAAATGCC 120 GCTGCATCAT TGGCGGCAGA AGGCAAAAAT CGTGGGAAAA TGCCGAGAAT TCACCAGCCA 180 TCTACTGCGG CTGATGGTAT CAGCGCTGCT CACCAGCAAA AGAAATCCTT CAGTCTCAGG 240 GGCTGTTTGG GGACGAAAAA ATTTTCCAGA TCGGCACCGC AGGGCCAGCC AGGTACCACC 300 CACAGCAAAG GGGCAACATT GCGCGATCTG CTGGCGCGGG ACGACGGCGA AACGCAGCAT 360 GAGGCGGCCG CGCCAGATGC GGCGCGTTTG ACCCGTTCGG GCGCCGTCAA ACGCCGCAAT 420 ATGGACGACA TGGCCGGGCG GCCAATGGTG AAAGGTGGCA GCGGCGAAGA TAAGGTACCA 480 ACGCAGCAAA AACGGCATCA GCTGAACAAT TTTGGCCAGA TGCGCCAAAC GATGTTGAGC 540 AAAATGGCTC ACCCGGCTTC AGCCAACGCC GGCGATCGCC TGCAGCATTC ACCGCCGCAC 600 ATCCCGGGTA GCCACCACGA AATCAAGGAA GAACCGGTTG GCTCCACCAG CAAGGCAACA ACGGCCCACG CAGACAGAGT GGAAATCGCT CAGGAAGATG ACGACAGCGA ATTCCAGCAA 720 CTGCATCAAC AGCGGCTGGC GCGCGAACGG GAAAATCCAC CGCAGCCGCC CAAACTCGGC 780 GTTGCCACAC CGATTAGCGC CAGGTTTCAG CCCAAACTGA CTGCGGTTGC GGAAAGCGTC 840 CTTGAGGGGA CAGATACCAC GCAGTCACCC CTTAAGCCGC AATCAATGCT GAAAGGAAGT 900 GGAGCCGGGG TAACGCCGCT GGCGGTAACG CTGGATAAAG GCAAGTTGCA GCTGGCACCG 960 GATAATCCAC CCGCGCTCAA TACGTTGTTG AAGCAGACAT TGGGTAAAGA CACCCAGCAC 1020 TATCTGGCGC ACCATGCCAG CAGCGACGGT AGCCAGCATC TGCTGCTGGA CAACAAAGGC 1080 CACCTGTTTG ATATCAAAAG CACCGCCACC AGCTATAGCG TGCTGCACAA CAGCCACCCC 1140 GGTGAGATAA AGGGCAAGCT GGCGCAGGCG GGTACTGGCT CCGTCAGCGT AGACGGTAAA 1200 AGCGGCAAGA TCTCGCTGGG GAGCGGTACG CAAAGTCACA ACAAAACAAT GCTAAGCCAA 1260 CCGGGGGAAG CGCACCGTTC CTTATTAACC GGCATTTGGC AGCATCCTGC TGGCGCAGCG 1320 CGGCCGCAGG GCGAGTCAAT CCGCCTGCAT GACGACAAAA TTCATATCCT GCATCCGGAG 1380 CTGGGCGTAT GGCAATCTGC GGATAAAGAT ACCCACAGCC AGCTGTCTCG CCAGGCAGAC 1440 GGTAAGCTCT ATGCGCTGAA AGACAACCGT ACCCTGCAAA ACCTCTCCGA TAATAAATCC 1500 TCAGAAAAGC TGGTCGATAA AATCAAATCG TATTCCGTTG ATCAGCGGGG GCAGGTGGCG 1560 ATCCTGACGG ATACTCCCGG CCGCCATAAG ATGAGTATTA TGCCCTCGCT GGATGCTTCC 1620

CCGGAGAGCC ATATTTCCCT CAGCCTGCAT TTTGCCGATG CCCACCAGGG GTTATTGCAC 1680 GGGAAGTCGG AGCTTGAGGC ACAATCTGTC GCGATCAGCC ATGGGCGACT GGTTGTGGCC 1740 GATAGCGAAG GCAAGCTGTT TAGCGCCGCC ATTCCGAAGC AAGGGGATGG AAACGAACTG 1800 AAAATGAAAG CCATGCCTCA GCATGCGCTC GATGAACATT TTGGTCATGA CCACCAGATT 1860 TCTGGATTTT TCCATGACGA CCACGCCAG CTTAATGCGC TGGTGAAAAA TAACTTCAGG 1920 CAGCAGCATG CCTGCCCGTT GGGTAACGAT CATCAGTTTC ACCCCGGCTG GAACCTGACT 1980 GATGCGCTGG TTATCGACAA TCAGCTGGGG CTGCATCATA CCAATCCTGA ACCGCATGAG 2040 ATTCTTGATA TGGGGCATTT AGGCAGCCTG GCGTTACAGG AGGGCAAGCT TCACTATTTT 2100 GACCAGCTGA CCAAAGGGTG GACTGGCGCG GAGTCAGATT GTAAGCAGCT GAAAAAAGGC 2160 CTGGATGGAG CAGCTTATCT ACTGAAAGAC GGTGAAGTGA AACGCCTGAA TATTAATCAG 2220 AGCACCTCCT CTATCAAGCA CGGAACGGAA AACGTTTTTT CGCTGCCGCA TGTGCGCAAT 2280 AAACCGGAGC CGGGAGATGC CCTGCAAGGG CTGAATAAAG ACGATAAGGC CCAGGCCATG 2340 GCGGTGATTG GGGTAAATAA ATACCTGGCG CTGACGGAAA AAGGGGACAT TCGCTCCTTC 2400 CAGATAAAAC CCGGCACCCA GCAGTTGGAG CGGCCGGCAC AAACTCTCAG CCGCGAAGGT 2460 ATCAGCGGCG AACTGAAAGA CATTCATGTC GACCACAAGC AGAACCTGTA TGCCTTGACC 2520 CACGAGGGAG AGGTGTTTCA TCAGCCGCGT GAAGCCTGGC AGAATGGTGC CGAAAGCAGC 2580 AGCTGGCACA AACTGGCGTT GCCACAGAGT GAAAGTAAGC TAAAAAGTCT GGACATGAGC 2640 CATGAGCACA AACCGATTGC CACCTTTGAA GACGGTAGCC AGCATCAGCT GAAGGCTGGC 2700 GGCTGGCACG CCTATGCGGC ACCTGAACGC GGGCCGCTGG CGGTGGGTAC CAGCGGTTCA 2760 CARACCGTCT TTAACCGACT AATGCAGGGG GTGAAAGGCA AGGTGATCCC AGGCAGCGGG 2820 TTGACGGTTA AGCTCTCGGC TCAGACGGGG GGAATGACCG GCGCCGAAGG GCGCAAGGTC 2880 AGCAGTAAAT TTTCCGAAAG GATCCGCGCC TATGCGTTCA ACCCAACAAT GTCCACGCCG 2940 CGACCGATTA AAAATGCTGC TTATGCCACA CAGCACGGCT GGCAGGGGCG TGAGGGGTTG 3000 AAGCCGTTGT ACGAGATGCA GGGAGCGCTG ATTAAACAAC TGGATGCGCA TAACGTTCGT 3060 CATAACGCGC CACAGCCAGA TTTGCAGAGC AAACTGGAAA CTCTGGATTT AGGCGAACAT 3120 GGCGCAGAAT TGCTTAACGA CATGAAGCGC TTCCGCGACG AACTGGAGCA GAGTGCAACC 3180 CGTTCGGTGA CCGTTTTAGG TCAACATCAG GGAGTGCTAA AAAGCAACGG TGAAATCAAT 3240 AGCGAATTTA AGCCATCGCC CGGCAAGGCG TTGGTCCAGA GCTTTAACGT CAATCGCTCT 3300 GGTCAGGATC TAAGCAAGTC ACTGCAACAG GCAGTACATG CCACGCCGCC ATCCGCAGAG 3360 AGTAAACTGC AATCCATGCT GGGGCACTTT GTCAGTGCCG GGGTGGATAT GAGTCATCAG 3420 AAGGGCGAGA TCCCGCTGGG CCGCCAGCGC GATCCGAATG ATAAAACCGC ACTGACCAAA 3480

TCGCGTTTAA TTTTAGATAC CGTGACCATC GGTGAACTGC ATGAACTGGC CGATAAGGCG 3540 AAACTGGTAT CTGACCATAA ACCCGATGCC GATCAGATAA AACAGCTGCG CCAGCAGTTC 3600 GATACGCTGC GTGAAAAGCG GTATGAGAGC AATCCGGTGA AGCATTACAC CGATATGGGC 3660 TTCACCCATA ATAAGGCGCT GGAAGCAAAC TATGATGCGG TCAAAGCCTT TATCAATGCC 3720 TTTAAGAAAG AGCACCACGG CGTCAATCTG ACCACGCGTA CCGTACTGGA ATCACAGGGC 3780 AGTGCGGAGC TGGCGAAGAA GCTCAAGAAT ACGCTGTTGT CCCTGGACAG TGGTGAAAGT 3840 ATGAGCTTCA GCCGGTCATA TGGCGGGGC GTCAGCACTG TCTTTGTGCC TACCCTTAGC 3900 AAGAAGGTGC CAGTTCCGGT GATCCCCGGA GCCGGCATCA CGCTGGATCG CGCCTATAAC 3960 CTGAGCTTCA GTCGTACCAG CGGCGGATTG AACGTCAGTT TTGGCCGCGA CGGCGGGTG 4020 AGTGGTAACA TCATGGTCGC TACCGGCCAT GATGTGATGC CCTATATGAC CGGTAAGAAA 4080 ACCAGTGCAG GTAACGCCAG TGACTGGTTG AGCGCAAAAC ATAAAATCAG CCCGGACTTG 4140 CGTATCGGCG CTGCTGTGAG TGGCACCCTG CAAGGAACGC TACAAAACAG CCTGAAGTTT 4200 AAGCTGACAG AGGATGAGCT GCCTGGCTTT ATCCATGGCT TGACGCATGG CACGTTGACC 4260 CCGGCAGAAC TGTTGCAAAA GGGGATCGAA CATCAGATGA AGCAGGGCAG CAAACTGACG 4320 TTTAGCGTCG ATACCTCGGC AAATCTGGAT CTGCGTGCCG GTATCAATCT GAACGAAGAC 4380 GGCAGTAAAC CAAATGGTGT CACTGCCCGT GTTTCTGCCG GGCTAAGTGC ATCGGCAAAC 4440 CTGGCCGCCG GCTCGCGTGA ACGCAGCACC ACCTCTGGCC AGTTTGGCAG CACGACTTCG 4500 GCCAGCAATA ACCGCCCAAC CTTCCTCAAC GGGGTCGGCG CGGGTGCTAA CCTGACGGCT 4560 GCTTTAGGGG TTGCCCATTC ATCTACGCAT GAAGGGAAAC CGGTCGGGAT CTTCCCGGCA 4620 TTTACCTCGA CCAATGTTTC GGCAGCGCTG GCGCTGGATA ACCGTACCTC ACAGAGTATC 4680 AGCCTGGAAT TGAAGCGCGC GGAGCCGGTG ACCAGCAACG ATATCAGCGA GTTGACCTCC 4740 ACGCTGGGAA AACACTTTAA GGATAGCGCC ACAACGAAGA TGCTTGCCGC TCTCAAAGAG 4800 TTAGATGACG CTAAGCCCGC TGAACAACTG CATATTTTAC AGCAGCATTT CAGTGCAAAA 4860 GATGTCGTCG GTGATGAACG CTACGAGGCG GTGCGCAACC TGAAAAAACT GGTGATACGT 4920 CAACAGGCTG CGGACAGCCA CAGCATGGAA TTAGGATCTG CCAGTCACAG CACGACCTAC 4980 AATAATCTGT CGAGAATAAA TAATGACGGC ATTGTCGAGC TGCTACACAA ACATTTCGAT 5040 GCGGCATTAC CAGCAAGCAG TGCCAAACGT CTTGGTGAAA TGATGAATAA CGATCCGGCA 5100 CTGAAAGATA TTATTAAGCA GCTGCAAAGT ACGCCGTTCA GCAGCGCCAG CGTGTCGATG 5160 GAGCTGAAAG ATGGTCTGCG TGAGCAGACG GAAAAAGCAA TACTGGACGG TAAGGTCGGT 5220 CGTGAAGAAG TGGGAGTACT TTTCCAGGAT CGTAACAACT TGCGTGTTAA ATCGGTCAGC 5280 GTCAGTCAGT CCGTCAGCAA AAGCGAAGGC TTCAATACCC CAGCGCTGTT ACTGGGGACG 5340

AGCA	ACAG	CG C	FGCT	ATGA	G CA	rggac	GCGC	AAC	ATCG	GAA (CAT	TAAT	TT T	AAT	ACGG	2	5400
CAGG	ATCAC	GA A	CACC	CAC	G GCC	ATT	racc	CTG	GAGG	GTG (SAAT	AGCT	CA GO	CTA	ATCC	3	5460
CAGG'	TCGC	AT C	rgcg	CTTA	TG	ATTTO	BAAG	AAG	GAAG	GGC :	rgga <i>i</i>	AATG	AA G	AGCT	AΑ		551 7
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	Ser	Ser	Ser 35	Ser	Pro	Gln	Asn	Ala 40	Ala	Ala	Ser	Leu	Ala 45	Ala	Glu	Gly	
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	Asp 65	Gly	Ile	Ser	Ala	Ala 70	His	Gln	Gln	Lys	Lys 75	Ser	Phe	Ser	Leu	Arg 80	
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	Pro	Gly	Thr	Thr 100	His	Ser	Lys	Gly	Ala 105	Thr	Leu	Arg	Asp	Leu 110	Leu	Ala	
	Arg	Asp	Asp 115	Gly	Glu	Thr	Gln	His 120	Glu	Ala	Ala	Ala	Pro 125	Asp	Ala	Ala	
	Arg	Leu 130	Thr	Arg	Ser	Gly	Gly 135	Val	Lys	Arg	Arg	Asn 140	Met	Asp	Asp	Met	
	Ala 145	Gly	Arg	Pro	Met	Val 150	Lys	Gly	Gly	Ser	Gly 155	Glu	Asp	Lys	Val	Pro 160	
	Thr	Gln	Gln	Lys	Arg 165	His	Gln	Leu	Asn	Asn 170	Phe	Gly	Gln	Met	Arg 175	Gln	
	Thr	Met	Leu	Ser 180	Lys	Met	Ala	His	Pro 185	Ala	Ser	Ala	Asn	Ala 190	Gly	Asp	
	Arg	Leu	Gln 195	His	Ser	Pro	Pro	His 200	Ile	Pro	Gly	Ser	His 205	His	Glu	Ile	

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Lys Glu Glu Pro Val Gly Ser Thr Ser Lys Ala Thr Thr Ala His Ala 210 215 220

Asp Arg Val Glu Ile Ala Gln Glu Asp Asp Asp Ser Glu Phe Gln Gln 225 230 235

Leu His Gln Gln Arg Leu Ala Arg Glu Arg Glu Asn Pro Pro Gln Pro 245 \$250\$

Pro Lys Leu Gly Val Ala Thr Pro Ile Ser Ala Arg Phe Gln Pro Lys \$260\$

Leu Thr Ala Val Ala Glu Ser Val Leu Glu Gly Thr Asp Thr Thr Gln \$275\$

Ser Pro Leu Lys Pro Gln Ser Met Leu Lys Gly Ser Gly Ala Gly Val 290 295 300

Thr Pro Leu Ala Val Thr Leu Asp Lys Gly Lys Leu Gln Leu Ala Pro 305 310 315 320

Asp Asn Pro Pro Ala Leu Asn Thr Leu Leu Lys Gln Thr Leu Gly Lys 325 \$330\$

Asp Thr Gln His Tyr Leu Ala His His Ala Ser Ser Asp Gly Ser Gln 340 345 350

His Leu Leu Leu Asp Asn Lys Gly His Leu Phe Asp Ile Lys Ser Thr \$355\$

Ala Thr Ser Tyr Ser Val Leu His Asn Ser His Pro Gly Glu Ile Lys 370 375 380

Gly Lys Leu Ala Gln Ala Gly Thr Gly Ser Val Ser Val Asp Gly Lys 385 \$390\$

Ser Gly Lys Ile Ser Leu Gly Ser Gly Thr Gln Ser His Asn Lys Thr 405 410 415

Met Leu Ser Gln Pro Gly Glu Ala His Arg Ser Leu Leu Thr Gly Ile 420 425 430

Trp Gln His Pro Ala Gly Ala Ala Arg Pro Gln Gly Glu Ser Ile Arg . \$435\$

Leu His Asp Asp Lys Ile His Ile Leu His Pro Glu Leu Gly Val Trp $450 \ \ \, 455 \ \ \, 460$

Gln Ser Ala Asp Lys Asp Thr His Ser Gln Leu Ser Arg Gln Ala Asp 465 470 475 480

Gly Lys Leu Tyr Ala Leu Lys Asp Asn Arg Thr Leu Gln Asn Leu Ser 485 490 495

Asp Asn Lys Ser Ser Glu Lys Leu Val Asp Lys Ile Lys Ser Tyr Ser $500 \hspace{1cm} 505 \hspace{1cm} 510 \hspace{1cm}$

Val Asp Gln Arg Gly Gln Val Ala Ile Leu Thr Asp Thr Pro Gly Arg 515 520 525

His Lys Met Ser Ile Met Pro Ser Leu Asp Ala Ser Pro Glu Ser His 530 535 540

Ile Ser Leu Ser Leu His Phe Ala Asp Ala His Gln Gly Leu Leu His Gly Lys Ser Glu Leu Glu Ala Gln Ser Val Ala Ile Ser His Gly Arg Leu Val Val Ala Asp Ser Glu Gly Lys Leu Phe Ser Ala Ala Ile Pro 585 Lys Gln Gly Asp Gly Asn Glu Leu Lys Met Lys Ala Met Pro Gln His Ala Leu Asp Glu His Phe Gly His Asp His Gln Ile Ser Gly Phe Phe 615 His Asp Asp His Gly Gln Leu Asn Ala Leu Val Lys Asn Asn Phe Arg 630 635 Gln Gln His Ala Cys Pro Leu Gly Asn Asp His Gln Phe His Pro Gly 650 Trp Asn Leu Thr Asp Ala Leu Val Ile Asp Asn Gln Leu Gly Leu His His Thr Asn Pro Glu Pro His Glu Ile Leu Asp Met Gly His Leu Gly 680 Ser Leu Ala Leu Gln Glu Gly Lys Leu His Tyr Phe Asp Gln Leu Thr 695 Lys Gly Trp Thr Gly Ala Glu Ser Asp Cys Lys Gln Leu Lys Lys Gly Leu Asp Gly Ala Ala Tyr Leu Leu Lys Asp Gly Glu Val Lys Arg Leu Asn Ile Asn Gln Ser Thr Ser Ser Ile Lys His Gly Thr Glu Asn Val 745 Phe Ser Leu Pro His Val Arg Asn Lys Pro Glu Pro Gly Asp Ala Leu Gln Gly Leu Asn Lys Asp Asp Lys Ala Gln Ala Met Ala Val Ile Gly Val Asn Lys Tyr Leu Ala Leu Thr Glu Lys Gly Asp Ile Arg Ser Phe Gln Ile Lys Pro Gly Thr Gln Gln Leu Glu Arg Pro Ala Gln Thr Leu 810 Ser Arg Glu Gly Ile Ser Gly Glu Leu Lys Asp Ile His Val Asp His 825 Lys Gln Asn Leu Tyr Ala Leu Thr His Glu Gly Glu Val Phe His Gln 840

Pro Arg Glu Ala Trp Gln Asn Gly Ala Glu Ser Ser Ser Trp His Lys

- Leu Ala Leu Pro Gln Ser Glu Ser Lys Leu Lys Ser Leu Asp Met Ser 865 \$870\$
- His Glu His Lys Pro Ile Ala Thr Phe Glu Asp Gly Ser Gln His Gln 885 \$890\$
- Leu Lys Ala Gly Gly Trp His Ala Tyr Ala Ala Pro Glu Arg Gly Pro
- Leu Ala Val Gly Thr Ser Gly Ser Gln Thr Val Phe Asn Arg Leu Met 915 \$920\$
- Gln Gly Val Lys Gly Lys Val Ile Pro Gly Ser Gly Leu Thr Val Lys 930 935 940
- Leu Ser Ala Gln Thr Gly Gly Met Thr Gly Ala Glu Gly Arg Lys Val 945 $950 \ 955 \ 960$
- Ser Ser Lys Phe Ser Glu Arg Ile Arg Ala Tyr Ala Phe Asn Pro Thr $965 \hspace{1.5cm} 970 \hspace{1.5cm} 975$
- Met Ser Thr Pro Arg Pro Ile Lys Asn Ala Ala Tyr Ala Thr Gln His 980 985 990
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- Ala Leu Ile Lys Gln Leu Asp Ala His Asn Val Arg His Asn Ala Pro 1010 1015 1020
- Gln Pro Asp Leu Gln Ser Lys Leu Glu Thr Leu Asp Leu Gly Glu His 1025 1030 1035 1040
- Gly Ala Glu Leu Leu Asn Asp Met Lys Arg Phe Arg Asp Glu Leu Glu 1045 1050 1055
- Gln Ser Ala Thr Arg Ser Val Thr Val Leu Gly Gln His Gln Gly Val
- Leu Lys Ser Asn Gly Glu Ile Asn Ser Glu Phe Lys Pro Ser Pro Gly 1075 1080 1085
- Lys Ala Leu Val Gln Ser Phe Asn Val Asn Arg Ser Gly Gln Asp Leu 1090 1095 1100
- Ser Lys Ser Leu Gln Gln Ala Val His Ala Thr Pro Pro Ser Ala Glu 1105 1110 1115 1120
- Ser Lys Leu Gln Ser Met Leu Gly His Phe Val Ser Ala Gly Val Asp 1125 1130 1135
- Met Ser His Gln Lys Gly Glu Ile Pro Leu Gly Arg Gln Arg Asp Pro 1140 1145 1150
- Asn Asp Lys Thr Ala Leu Thr Lys Ser Arg Leu Ile Leu Asp Thr Val
- Thr Ile Gly Glu Leu His Glu Leu Ala Asp Lys Ala Lys Leu Val Ser 1170 1180
- Asp His Lys Pro Asp Ala Asp Gln Ile Lys Gln Leu Arg Gln Gln Phe 1185 1190 1200

- Asp Thr Leu Arg Glu Lys Arg Tyr Glu Ser Asn Pro Val Lys His Tyr 1205 1210 1215
- Thr Asp Met Gly Phe Thr His Asn Lys Ala Leu Glu Ala Asn Tyr Asp \$1220\$ \$1225\$ \$1230
- Ala Val Lys Ala Phe Ile Asn Ala Phe Lys Lys Glu His His Gly Val $1235 \hspace{1.5cm} 1240 \hspace{1.5cm} 1245$
- Asn Leu Thr Thr Arg Thr Val Leu Glu Ser Gln Gly Ser Ala Glu Leu 1250 1255 1260
- Ala Lys Lys Leu Lys Asn Thr Leu Leu Ser Leu Asp Ser Gly Glu Ser 1265 1270 1275 1280
- Met Ser Phe Ser Arg Ser Tyr Gly Gly Gly Val Ser Thr Val Phe Val 1285 1290 1295
- Pro Thr Leu Ser Lys Lys Val Pro Val Pro Val Ile Pro Gly Ala Gly
 1300 1305 1310
- Ile Thr Leu Asp Arg Ala Tyr Asn Leu Ser Phe Ser Arg Thr Ser Gly \$1315\$ \$1320\$ \$1325\$
- Gly Leu Asn Val Ser Phe Gly Arg Asp Gly Gly Val Ser Gly Asn Ile 1330 1335 1340
- Met Val Ala Thr Gly His Asp Val Met Pro Tyr Met Thr Gly Lys Lys 1345 1350 1350
- Thr Ser Ala Gly Asn Ala Ser Asp Trp Leu Ser Ala Lys His Lys Ile 1365 1370 1375
- Ser Pro Asp Leu Arg Ile Gly Ala Ala Val Ser Gly Thr Leu Gln Gly 1380 1385 1390
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- Gly Phe Ile His Gly Leu Thr His Gly Thr Leu Thr Pro Ala Glu Leu 1410 1415 1420
- Leu Gln Lys Gly Ile Glu His Gln Met Lys Gln Gly Ser Lys Leu Thr 1425 1430 1435 1440
- Phe Ser Val Asp Thr Ser Ala Asn Leu Asp Leu Arg Ala Gly Ile Asn 1445 \$1450\$
- Leu Asn Glu Asp Gly Ser Lys Pro Asn Gly Val Thr Ala Arg Val Ser 1460 1465 1470
- Ala Gly Leu Ser Ala Ser Ala As
n Leu Ala Ala Gly Ser Arg Glu Arg 1475 1480 . 1485
- Ser Thr Thr Ser Gly Gln Phe Gly Ser Thr Thr Ser Ala Ser Asn Asn 1490 1495 1500
- Arg Pro Thr Phe Leu Asn Gly Val Gly Ala Gly Ala Asn Leu Thr Ala 1505 1510 1515 1520

- Ala Leu Gly Val Ala His Ser Ser Thr His Glu Gly Lys Pro Val Gly 1525 1530 1535
- Ile Phe Pro Ala Phe Thr Ser Thr Asn Val Ser Ala Ala Leu Ala Leu 1540 1545 1550
- Asp Asn Arg Thr Ser Gln Ser Ile Ser Leu Glu Leu Lys Arg Ala Glu 1555 1560 1565
- Pro Val Thr Ser Asn Asp Ile Ser Glu Leu Thr Ser Thr Leu Gly Lys
- His Phe Lys Asp Ser Ala Thr Thr Lys Met Leu Ala Ala Leu Lys Glu 1585 1590 1595 1600
- Leu Asp Asp Ala Lys Pro Ala Glu Gln Leu His Ile Leu Gln Gln His 1605 1610 1615
- Phe Ser Ala Lys Asp Val Val Gly Asp Glu Arg Tyr Glu Ala Val Arg 1620 1625 1630
- Asn Leu Lys Lys Leu Val Ile Arg Gln Gln Ala Ala Asp Ser His Ser 1635 1640 1645
- Met Glu Leu Gly Ser Ala Ser His Ser Thr Thr Tyr Asn Asn Leu Ser 1650 1655 1660
- Arg Ile Asn Asn Asp Gly Ile Val Glu Leu Leu His Lys His Phe Asp 1665 1670 1675 1680
- Ala Ala Leu Pro Ala Ser Ser Ala Lys Arg Leu Gly Glu Met Met Asn 1685 1690 1695
- Asn Asp Pro Ala Leu Lys Asp Ile Ile Lys Gln Leu Gln Ser Thr Pro $1700 \hspace{1cm} 1705 \hspace{1cm} 1710 \hspace{1cm}$
- Phe Ser Ser Ala Ser Val Ser Met Glu Leu Lys Asp Gly Leu Arg Glu 1715 1720 1725
- Gln Thr Glu Lys Ala Ile Leu Asp Gly Lys Val Gly Arg Glu Glu Val 1730 \$1735\$ 1740
- Gly Val Leu Phe Gln Asp Arg Asn Asn Leu Arg Val Lys Ser Val Ser 1745 1750 1755 1760
- Val Ser Gln Ser Val Ser Lys Ser Glu Gly Phe Asn Thr Pro Ala Leu 1765 1770 1775
- Leu Leu Gly Thr Ser Asn Ser Ala Ala Met Ser Met Glu Arg Asn Ile 1780 1785 1790
- Gly Thr Ile Asn Phe Lys Tyr Gly Gln Asp Gln Asn Thr Pro Arg Arg 1795 1800 1805
- Phe Thr Leu Glu Gly Gly Ile Ala Gln Ala Asn Pro Gln Val Ala Ser 1810 1820
- Ala Leu Thr Asp Leu Lys Lys Glu Gly Leu Glu Met Lys Ser 1825 1830 1835

= J/ =
(2) INFORMATION FOR SEQ ID NO:3:
(i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 420 base pairs (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear
(ii) MOLECULE TYPE: DNA (genomic)
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:
ATGACATCGT CACAGCAGCG GGTTGAAAGG TTTTTACAGT ATTTCTCCGC CGGGTGTAAA 60
ACGCCCATAC ATCTGAAAGA CGGGGTGTGC GCCCTGTATA ACGAACAAGA TGAGGAGGCG 120
GCGGTGCTGG AAGTACCGCA ACACAGCGAC AGCCTGTTAC TACACTGCCG AATCATTGAG 180
GCTGACCCAC AAACTTCAAT AACCCTGTAT TCGATGCTAT TACAGCTGAA TTTTGAAATG 240
GCGGCCATGC GCGGCTGTTG GCTGGCGCTG GATGAACTGC ACAACGTGCG TTTATGTTTT 300
CAGCAGTCGC TGGAGCATCT GGATGAAGCA AGTTTTAGCG ATATCGTTAG CGGCTTCATC 360
GAACATGCGG CAGAAGTGCG TGAGTATATA GCGCAATTAG ACGAGAGTAG CGCGGCATAA 420
(2) INFORMATION FOR SEQ ID NO:4: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 139 amino acids (B) TYPE: amino acid
(C) STRANDEDNESS: (D) TOPOLOGY: linear
(ii) MOLECULE TYPE: protein
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:
Met Thr Ser Ser Gln Gln Arg Val Glu Arg Phe Leu Gln Tyr Phe Ser 1 $000000000000000000000000000000000000$
Ala Gly Cys Lys Thr Pro Ile His Leu Lys Asp Gly Val Cys Ala Leu $20 \\ 25 \\ 30$
Tyr Asn Glu Gln Asp Glu Glu Ala Ala Val Leu Glu Val Pro Gln His 35 40 45
Ser Asp Ser Leu Leu Leu His Cys Arg Ile Ile Glu Ala Asp Pro Gln 50 55 60

Thr Ser Ile Thr Leu Tyr Ser Met Leu Leu Gln Leu Asn Phe Glu Met 65 70 75 80 Ala Ala Met Arg Gly Cys Trp Leu Ala Leu Asp Glu Leu His Asn Val 85 95 95

Arg Leu Cys Phe Gln Gln Ser Leu Glu His Leu Asp Glu Ala Ser Phe \$100\$

Ser Asp Ile Val Ser Gly Phe Ile Glu His Ala Ala Glu Val Arg Glu 115 $$\rm 120$$

Tyr Ile Ala Gln Leu Asp Glu Ser Ser Ala Ala 130 135

- (2) INFORMATION FOR SEQ ID NO:5:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 29 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

GGAACCNNNN NNNNNNNNN NCAACATAA

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WHAT IS CLAIMED:

- 1. An isolated DNA molecule encoding a hypersensitive response eliciting protein or polypeptide, wherein the isolated DNA molecule is selected from the group consisting of (a) a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 or 3, (b) a DNA molecule encoding a protein comprising an amino acid of SEQ. ID. Nos. 2 or 4, (c) a DNA molecule which hybridizes to a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 or 3, under stringent conditions, and (d) a DNA molecule complementary to DNA molecules (a), (b), and (c).
- An isolated DNA molecule according to claim 1, wherein said DNA molecule is a DNA molecule comprising a nucleotide sequence of SEQ. ID.
 Nos. 1 or 3.
- An isolated DNA molecule according to claim 1, wherein said DNA molecule is a DNA molecule encoding protein comprising an amino acid of SEQ. ID. Nos. 2 or 4.
- 4. An isolated DNA molecule according to claim 1, wherein said DNA molecule is a DNA molecule which hybridizes to a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 or 3, under stringent conditions.
- An isolated DNA molecule according to claim 1, wherein said
 DNA molecule is a DNA molecule complementary to DNA molecules (a), (b), and
 (c).
 - An expression vector transformed with the DNA molecule of claim 1.
 - An expression vector according to claim 6, wherein the DNA molecule is in proper sense orientation and correct reading frame.
 - A host cell transformed with the DNA molecule of claim 1.

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- A host cell according to claim 8, wherein the host cell is selected from the group consisting of a plant cell or a bacterial cell.
- A host cell according to claim 8, wherein the DNA molecule is
 transformed with an expression vector.
 - A transgenic plant transformed with the DNA molecule of claim 1.
- A transgenic plant according to claim 11, wherein the plant is selected from the group consisting of alfalfa, rice, wheat, barley, rye, cotton, sunflower, peanut, corn, potato, sweet potato, bean, pea, chicory, lettuce, endive, cabbage, brussel sprout, beet, parsnip, turnip, cauliflower, broccoli, turnip, radish, spinach, onion, garlic, eggplant, pepper, celery, carrot, squash, pumpkin, zucchini, cucumber, apple, pear, melon, citrus, strawberry, grape, raspberry, pineapple, soybean, tobacco, tomato, sorghum, and sugarcane.
 - 13. A transgenic plant according to claim 11, wherein the plant is selected from the group consisting of Arabidopsis thaliana, Saintpaulia, petunia, pelargonium, poinsettia, chrysanthemum, carnation, and zinnia.
 - A transgenic plant seed transformed with the DNA molecule of claim 1.
- 25 15. A transgenic plant seed according to claim 14, wherein the plant seed is selected from the group consisting of alfalfa, rice, wheat, barley, rye, cotton, sunflower, peanut, corn, potato, sweet potato, bean, pea, chicory, lettuce, endive, cabbage, brussel sprout, beet, parsnip, turnip, cauliflower, broccoli, turnip, radish, spinach, onion, garlic, eggplant, pepper, celery, carrot, squash, pumpkin, zucchini, cucumber, apple, pear, melon, citrus, strawberry, grape, raspberry, pineapple, soybean, tobacco, tomato, sorghum, and sugarcane.
- 16. A transgenic plant seed according to claim 14, wherein the plant seed is selected from the group consisting of Arabidopsis thaliana, Saintpaulia,
 petunia, pelargonium, poinsettia, chrysanthemum, carnation, and zinnia.

- 17. An isolated hypersensitive response eliciting protein or polypeptide selected from the group consisting of a protein or polypeptide having an amino acid sequence comprising SEQ. ID. Nos. 2 or 4, and an amino acid sequence encoded by a nucleic acid which hybridizes to a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 or 3.
- An isolated protein or polypeptide according to claim 17,
 wherein the protein or polypeptide has an amino acid sequence comprising SEQ. ID.
 Nos. 2 or 4.
 - 19. An isolated protein or polypeptide according to claim 17, wherein the protein or polypeptide is encoded by a nucleic acid which hybridizes to a DNA molecule comprising a nucleotide sequence of SEQ. ID. Nos. 1 or 3.
 - 20. A method of imparting disease resistance to plants comprising: applying a protein or polypeptide according claim 17 in a non-infectious form to a plant or plant seed under conditions effective to impart disease resistance.
 - A method according to claim 20, wherein plants are treated during said applying.
- A method according to claim 20, wherein plant seeds are
 treated during said applying, said method further comprising:
 planting the seeds treated with the hypersensitive response elicitor in natural or artificial soil and
 propagating plants from the seeds planted in the soil.
- 30 23. A method of enhancing plant growth comprising: applying a protein or polypeptide according claim 17 in a noninfectious form to a plant or plant seed under conditions effective to enhance plant growth.

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- A method according to claim 23, wherein plants are treated during said applying.
- A method according to claim 23, wherein plant seeds are treated during said applying, said method further comprising:
 planting the seeds treated with the hypersensitive response elicitor in natural or artificial soil and
 propagating plants from the seeds planted in the soil.
- 10 26. A method of insect control for plants comprising: applying a protein or polypeptide according claim 17 in a noninfectious form to a plant or plant seed under conditions effective to control insects.
- A method according to claim 26, wherein plants are treated
 during said applying.
- 28. A method according to claim 26, wherein plant seeds are treated during said applying, said method further comprising:

 planting the seeds treated with the hypersensitive response
 20 elicitor in natural or artificial soil and

 propagating plants from the seeds planted in the soil.
 - 29. A method of imparting disease resistance to plants comprising: providing a transgenic plant or plant seed transformed with a
- 25 DNA molecule according to claim 1 and
 - growing the transgenic plant or transgenic plants produced from the transgenic plant seeds under conditions effective to impart disease resistance.
- ${\it 30.} \qquad {\it A method according to claim 29, wherein a transgenic plant is} \\ {\it 30} \qquad {\it provided.}$
 - A method according to claim 29, wherein a transgenic plant seed is provided.

- A method of enhancing plant growth comprising: providing a transgenic plant or plant seed transformed with a DNA molecule according to claim 1 and
- growing the transgenic plant or transgenic plants produced
 from the transgenic plant seeds under conditions effective to enhance plant growth.
 - 33. A method according to claim 32, wherein a transgenic plant is provided.
- 10 34. A method according to claim 32, wherein a transgenic plant seed is provided.
 - 35. A method of insect control for plants comprising: providing a transgenic plant or plant seed transformed with a
- 15 DNA molecule according to claim 1 and growing the transgenic plant or transgenic plants produced from the transgenic plant seeds under conditions effective to control insects.
- 36. A method according to claim 35, wherein a transgenic plant is20 provided.
 - A method according to claim 35, wherein a transgenic plant seed is provided.
- 25 38. A composition comprising: a protein or polypeptide according to claim 17 and a carrier.
- 39. A composition according to claim 38 further comprising an 30 additive selected from the group consisting of fertilizer, insecticide, fungicide, nematacide, and mixtures thereof.
 - 40. An antibody or binding portion thereof which recognizes a protein or polypeptide according to claim 17.

- An antibody or binding portion thereof according to claim 40, wherein the antibody is a monoclonal antibody.
- 42. An antibody or binding portion thereof according to claim 40,5 wherein the antibody is a polyclonal antibody.
 - 43. A method to alter the disease or hypersensitive response in a plant comprising:

providing the plant with an antibody or binding portion thereof $10 - \mbox{according}$ to claim 40 and

causing the antibody or binding portion thereof to bind to a hypersensitive response elicitor protein or polypeptide under conditions effective to alter disease or hypersensitive response. 5

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ABSTRACT OF THE DISCLOSURE

The present invention is directed to an isolated protein or polypeptide which elicits a hypersensitive response in plants as well as an isolated DNA molecule which encodes the hypersensitive response eliciting protein or polypeptide. This isolated protein or polypeptide and the isolated DNA molecule can used to impart disease resistance to plants, to enhance plant growth, and/or to control insects on plants. This can be achieved by applying the hypersensitive response elicitor protein or polypeptide in a non-infectious form to plants or plant seeds under conditions effective to impart disease resistance, to enhance plant growth, and/or to control insects on plants or plants grown from the plant seeds. Alternatively, transgenic plants or plant seeds transformed with a DNA molecule encoding a hypersensitive response elicitor protein or polypeptide can be provided and the transgenic plants or plants resulting from the transgenic plant seeds are grown under conditions effective to impart disease resistance, to enhance plant growth, and/or to control insects on plants or plants grown from the plant seeds.

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3296 (CRF D-2098B)

APPLICANTS: Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE: HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the copy of the **Informal Drawings** (5 pages) as filed in the prior application of the above-named application.

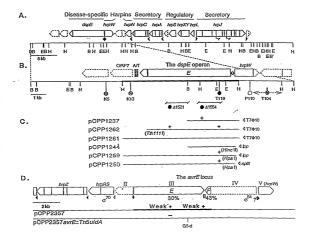
EXPRESS MAIL NUMBER: EL542863819US

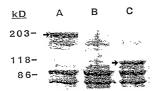
DATE OF DEPOSIT: June 19, 2000

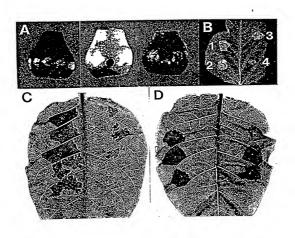
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Box: Patent Application.

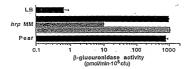
Jane C. Wirszyla
(Typed or printed name of person mailing paper or fee)

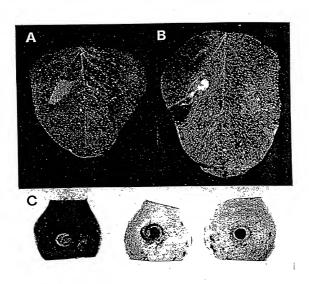
(Signature of person mailing paper or fee)











FIGURES 5A-C

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 19603/3296 (CRF D-2098B)

APPLICANTS: Adam J. Bogdanove, Jihyun Francis Kim, Zhong-Min Wei, and

Steven V. Beer

TITLE: HYPERSENSITIVE RESPONSE ELICITOR FROM

ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

Certificate is attached to the copy of the **Two SIGNED Combined Declaration** and **Power of Attorney forms (2 pages each)** as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: EL542863819US

DATE OF DEPOSIT: June 19, 2000

I hereby certify that this paper or fee is being deposited with the United States

Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on
the date indicated above and is addressed to the Assistant Commissioner for Patents,

Washington, D.C. 20231, Box: Patent Application.

Jane C. Wirszyla
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing pape

SUPPLEMENTAL COMBINED DECLARATION FOR PATENT APPLICAT AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 19603/1661 (CRF D-2098A)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

the specifi	cation of which (check only one item below):
[]	is attached hereto.
[X]	was filed as U.S. Patent Application Serial No. 09/120,663 on July 22, 1998 and was amended under PCT Article 19 on (if applicable).
[]	was filed as PCT International Application No and was amended under PCT Article 19 on (if applicable).
	ate that I have reviewed and understand the contents of the above-identified specifications, including the claims, as amended by any at referred to above.
	edge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of gulations, § 1.56(a).
or of any l below any	laim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate "CT international application(s) designating at least one country other than the United States listed below and have also identified foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other nited States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (IF PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
United States	60/055,106	06-AUG-1997	[X] YES [] NO
			[]YES[]NO
			[]YES[]NO

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application(s) in disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, 1 exknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATION NUMBER U.S. FILING DATE PATENTED PENDING ABANDONI PCT APPLICATIONS DESIGNATING THE U.S. PCT APPLICATION NO. FILING DATE U.S. SERIAL NUMBERS ASSIGNED (if any)	' U.S. APPLICATIONS			STATUS (Check One)			
PCT PCT U.S. SERIAL NUMBERS	U.S. APPLICATION NUMBER		U.S. FILING DATE		PATENTED	PENDING	ABANDONED
	PCT APP	LICATIONS DESIGNATI	NG THE U.	S.			

Page 1 of 2

COMBINED DECLARA N FOR PATENT APPLICATION AND POWER C. ATTORNEY (Continue)

ATTORNEY'S DOCKET NUMBER

19603/1661 (CRF D-2098A)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Michael L. Goldman, Registration No. 30,727; Gunnar G. Leinberg, Registration No. 35,584; Dennis M. Connolly, Registration No. 40,684; Edwin V. Merkel, Registration No. 40,087; Georgia Caton, Registration No. 44,597; Grant E. Pollack, Registration No. 34,097

Send Correspondence to: Michael L. Goldman Nixon Peabody LLP Clinton Square, P.O. B Rochester, New York 1			(name and telephone num Box 1051 Michael L. Goldman		e and telephone number) ael L. Goldman	
	FULL NAME OF INVENTOR	FAMILY NAME Bogdanove	FIRST GIVEN NAME		SECOND GIVEN NAME J.	
2 RESIDENCE & CITIZENSHIP POST OFFICE ADDRESS		CITY Ithaca	STATE/FOREIGN COUN' New York	STATE/FOREIGN COUNTRY		
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	FULL NAME OF FAMILY NAME INVENTOR Kim		FIRST GIVEN NAME Jihyun		SECOND GIVEN NAME Francis	
2 2	RESIDENCE & CITIZENSHIP	CITY Ithaca	STATE/FOREIGN COUNT New York	TRY	COUNTRY OF CITIZENSHIP Republic of Korea	
	POST OFFICE ADDRESS	P.O. ADDRESS 2250 N. Triphammer Road, Apt. FL-4	CITY Ithaca		STATE & ZIP CODE/CTRY New York 14850/USA	
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5)	POST OFFICE P.O. ADDRESS		CITY Kirkland		STATE & ZIP CODE/CTRY Washinton 98034/USA	
21 24	FULL NAME OF INVENTOR	FAMILY NAME Beer	FIRST GIVEN NAME Steven		SECOND GIVEN NAME V.	
2 0 4	RESIDENCE & CITIZENSHIP	CITY Ithaca	STATE/FOREIGN COUNTRY New York		COUNTRY OF CITIZENSHIP United States	
	POST OFFICE ADDRESS	P.O. ADDRESS 211 Hudson Street	CITY Ithaca		STATE & ZIP CODE/CTRY New York 14850/USA	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
St. Solone	Symlein	
DATE / 5-01-00	DATE 04/28/00	DATE
SIGNATURE OF INVENTOR 264	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
the som		
DATE 4/28/00	DATE	DATE

Page 2 of 2

SUPPLEMENTAL COMBINED DECLARATION FOR PATENT APPLICATY AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)

ATTORNEY'S DOCKET NUMBER 19603/1661 (CRF D-2098A)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

HYPERSENSITIVE RESPONSE ELICITOR FROM ERWINIA AMYLOVORA, ITS USE, AND ENCODING GENE

HYPERSENSIIIV	E RESPON	SE ELICITOR I	KOM EKWIN	A AM ILOVOKA,	115 USE, A	ND ENCODING	GENE
ne specification of which (che	ck only one	item below):				_	
[] is attached herete	is attached hereto.						
	was filed as U.S. Patent Application Serial No. (uly 22, 1998 and w	as amended ı	inder PCT Article	19 on
	Internationa pplicable).	l Application No.	on	and w	as amended u	nder PCT Article	19 on
hereby state that I have revie mendment referred to above.	wed and und	erstand the conten	ts of the above-i	dentified specificat	ions, includir	g the claims, as an	nended by any
acknowledge the duty to disc ederal Regulations, § 1.56(a)		tion which is mate	rial to the exam	ination of this appli	cation in acc	ordance with Title	37, Code of
hereby claim foreign priority r of any PCT international ap elow any foreign application ann the United States of Ame laimed:	plication(s) of s) for patent	lesignating at leas or inventor's certi	t one country oth ificate or any PC	ner than the United T international app	States listed l lication(s) de	pelow and have als signating at least o	o identified ne country other
RIOR FOREIGN/PCT APPL	ICATION(S) AND ANY PRI	ORITY CLAIM	S UNDER 35 U.S.	C. 119:		
COUNTRY (IF PCT, indicate "PC	Γ")	APPLICATION NUMBER		DATE OF FILING (day, month, year)		PRIORITY CLAIMED UNDER 35 USC 119	
United States		60/055	55,106 06-AUG-1997		97	[X] YES [] NO	
						[]YES[]NO	
					[]YES[]NO		
hereby claim the benefit und esignating the United States of isclosed in that/those prior ap the duty to disclose material in the prior application(s) and the	of America to plication(s) formation as	hat is/are listed be in the manner pro- s defined in Title 3	low and, insofar vided by the first 7, Code of Fede	as the subject matte paragraph of Title ral Regulations, § 1	er of each of 35, United S	he claims of this a tates Code, § 112,	pplication is not I acknowledge
RIOR U.S. APPLICATIONS 20:	OR PCT IN	ITERNATIONAL	APPLICATION	NS DESIGNATING	THE U.S. F	OR BENEFIT UN	DER 35 U.S.C.
, U.S. APPLICATIONS				STATU		US (Check One)	
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Page 1 of 2

COMBINED DECLARATIN FOR PATENT APPLICATION AND POWER C. ATTORNEY (Continue)

ATTORNEY'S DOCKET NUMBER
19603/1661 (CRF D-2098A)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Michael L. Goldman, Registration No. 30,727; Gunnar G. Leinberg, Registration No. 35,584; Dennis M. Connolly, Registration No. 40,964; Edwin V. Merkel, Registration No. 40,087; Georgia Caton, Registration No. 44,597; Grant E. Pollack, Registration No. 34,097

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	FULL NAME OF INVENTOR			SECOND GIVEN NAME Francis			
2 RESIDENCE & CITIZENSHIP		CITY Ithaca	STATE/FOREIGN COUNTRY New York		COUNTRY OF CITIZENSHIP Republic of Korea		
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2	FULL NAME OF INVENTOR	FAMILY NAME Wei	FIRST GIVEN NAME Zhong-Min		SECOND GIVEN NAME		
0.	RESIDENCE & CITIZENSHIP	CITY Kirkland	STATE/FOREIGN COUNT Washington	RY	COUNTRY OF CITIZENSHIP United States		
1 0 000	ADDRESS 8230 125th Court		CITY Kirkland		STATE & ZIP CODE/CTRY Washinton 98034/USA		
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	FULL NAME OF INVENTOR	FAMILY NAME Beer	FIRST GIVEN NAME Steven		SECOND GIVEN NAME V.		
2	RESIDENCE & CITIZENSHIP	CITY Ithaca	STATE/FOREIGN COUNTRY New York				COUNTRY OF CITIZENSHIP United States
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE / 4/28/2000
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE

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